



CONFLUENCE PHILANTHROPY



Honoring Legacy » Aligning Our Values

4th Annual Confluence Practitioners Meeting

February 4–6, 2014 » Santa Fe, NM



FUNDER PACKET

WWW.CONFLUENCEPHILANTHROPY.ORG



Getting to the Hotel and Conference Site

The majority of conference events will take place at:

Hotel Santa Fe Hacienda & Spa

1501 Paseo de Peralta
Santa Fe, New Mexico 87501
+1 (855) 825-9876
www.hotelsantafe.com

Getting There

Flights to New Mexico

You can fly into Albuquerque or Santa Fe. Confluence will not arrange shuttles to the airport, however, for your convenience, transportation information is listed below.

Directions to Hotel Santa Fe

From Albuquerque Airport

Albuquerque International Sunport (Airport) to Hotel Santa Fe is a 60-minute trip.

By Shuttle:

Sandia Shuttle – The Sandia Shuttle Express departs from Albuquerque International Airport at a quarter to the hour, every hour between 8:45am and 11:45pm. The check-in counter is located inside the airport on the Baggage Claim Level across from Southwest's Baggage Carousel #3. Reservations are required to guarantee a seat. The current fare is \$28.00 per person each way, or \$48.00 round trip.

The Sandia Shuttle picks up and drops off passengers directly at Hotel Santa Fe. Discounted group are available. For reservations, shuttle times, and more information call (888) 775-5696 or (505) 474-5696 or go to www.sandiashuttle.com.



By Train:

New Mexico Railrunner Express – Offers train service to and from downtown Albuquerque for a fare of \$7.00 each way. A complimentary shuttle from the Albuquerque International Airport will transport guests to the downtown train depot. Please check www.nmrailrunner.com for current train times to Santa Fe. The Railrunner arrives directly across the street from Hotel Santa Fe.



By Car:

All major car rentals are available at the airport, but since downtown historic Santa Fe is a walking city a car may not be necessary.

From Santa Fe Airport

Santa Fe Airport to Hotel Santa Fe is a 15 minute trip. Note that airfares to/from Santa Fe, may be slightly higher than those to/from Albuquerque.



By Shuttle:

Hotel Santa Fe offers complimentary shuttle service to and from the Santa Fe Airport, via Road Runner Shuttle Service. Road Runner Shuttle meets every flight, so just let them know you are staying with Hotel Santa Fe.

Offsite Events

Tuesday February 4th

FUNDER-ONLY EVENTS

6:00pm – 7:00pm | Funder-Only Reception
7:00pm – 8:00pm | Funder-Only Dinner

A funder-only reception and dinner will be hosted by The Santa Fe Community Foundation at Vanessie of Santa Fe Restaurant and Lounge. Vanessie is a short 15 minute walk from the Hotel Santa Fe and is located at:

Vanessie of Santa Fe Restaurant and Lounge
434 W San Francisco Street
Santa Fe, NM 87501

If you would prefer not to walk, a shuttle service will be available from the Hotel Santa Fe to the restaurant. Please sign up in advance for this shuttle at the conference registration desk.

Wednesday February 5th

ADVISORS WELCOME 3:45pm – 10:00pm

Offsite Events at the Santa Fe Farmers Market Pavilion

3:45pm – 5:30pm | Optional Tour and Discussion of Santa Fe Railyard Project (Advisors Welcome)

Please sign up in advance for the tour at the conference registration desk. All participants should gather in the hotel lobby by 3:45pm. Be sure to bring warm clothing (coats, gloves, hats etc.) for the outdoor portion of the tour which will be approximately 45 minutes in length, to be followed by Q&A at The Farmers' Market Building.

5:30pm – 7:00pm | Plenary: The Power of Investing in Women and the Power of Women as Investors

7:00pm – 9:00pm | Networking Reception & Strolling Dinner at the Santa Fe Farmers' Market Pavilion

The Plenary and Networking Reception will both take place at the Santa Fe Farmers' Market Pavilion. The Farmers' Market Pavilion is a short 5 minute walk from the Hotel Santa Fe and is located at:

1607 Paseo de Peralta
Santa Fe, NM 87501

Contacts

Confluence Philanthropy

General Office Number: 212-812-4367

Confluence Philanthropy Staff (Cell Numbers)

Camille Goulding, Event Logistics Manager: (347) 841-2324

Sarah DeNicola, Membership Program Manager: (415) 317-7905

Ian Defeo, Operations Manager: (917) 635-4311

Dana Lanza, CEO: (718) 499-4935

Non-Solicitation Policy

Confluence Philanthropy is not a funding organization, nor do we channel philanthropic capital directly to discreet individuals, investment opportunities, or funds.

The Practitioners' Meeting is intended to serve as a private space for foundation leaders and their advisors to meet and to strategize about the best use of assets in fulfilling their organizational mission. In order to retain the integrity of this convening we must maintain a strict policy of non-solicitation during and after the event. We ask that advisors and grantseekers do not solicit Practitioners unless invited.

Those who violate this policy will not be invited to participate in future meetings.

Thank you for your support in protecting the intent of the Practitioners Meeting.



Confluence Philanthropy supports and catalyzes the work of private, public and community foundations, individual donors, and investment advisors who are committed to moving philanthropy towards mission-aligned investment.

Confluence Philanthropy was launched in the fall of 2008 when Environmental Grantmakers Association Executive Director, Dana Lanza, lead the creation of a new philanthropic initiative focused on guiding foundations towards mission-related investing.

Confluence is an international organization with the majority of our members based in the U.S., and with a handful in Europe, Canada, and Mexico. Our members represent a diversity of institutions, charitable asset types, personal and professional roles, and programmatic missions but they all share a commitment to a vision of mission-aligned philanthropy.

From its annual conference, to webinars and trainings, to working groups focused on specific areas of investment and change strategies, Confluence's programs translate learning and connection into concrete action. We promote mission alignment through a variety of asset classes, investment vehicles and advocacy strategies, including cash, proxy voting, shareholder engagement, program-related investing, screening public equities, and private equity.

Our offices are based in New York City at The Rockefeller Brothers Fund and in downtown Oakland, California.



Confluence has four strategic goals:

- 1** To build a network of practice and philanthropic leadership in mission-related investing through education, outreach, and peer-to-peer learning.
- 2** To increase the capacity of foundations and donors to practice mission-related investing by providing technical assistance.
- 3** To accelerate the field of mission-related investing by fostering systemic thinking, effective cross-sectoral partnerships; and creating a space for philanthropic innovation to emerge.
- 4** To provide our members a strong organization, with the capacity to effectively advance Confluence Philanthropy's mission and goals.

Join the Practitioners Program

Confluence provides value to a wide spectrum of members including those freshly committed to mission alignment, those working incrementally within institutions, and those who have achieved 100% mission alignment who seek to exercise sector-wide leadership. Practitioner Members are wide-ranging from small, focused, family foundations to some of the largest endowments with a vision to leverage capital for multiple funding themes.

Membership to the Practitioners' Program is open to philanthropic organizations and individuals who seek to deepen their practice in mission-related investing (MRI) by working collaboratively and strategically with other grantmakers. Practitioners have general clarity about their MRI goals alongside organizational support, or a strong personal commitment, for their objectives.



Why Join the Practitioners Program?

In addition to the services offered through Basic Membership, Practitioners benefit from the enhanced services and engagement provided by increased membership. Practitioners look to join in a peer-to-peer community through which they can share knowledge, construct ideas, deepen best practices, and foster collaboration.

Practitioners Program Goals

1. To build a community of experienced practitioners in mission-related investing
2. To increase the capacity of Confluence practitioners through technical assistance, peer-to-peer learning, and collaboration
3. To accelerate the field of mission-related investing by fostering systemic thinking, effective partnerships, and innovation within philanthropy

Practitioners Program Benefits

Practitioners are eligible to all the benefits of Basic Membership, and:

- Complimentary registration in the Annual Practitioners' Meeting for one foundation member and discounted participation for additional representatives

- A community of practice that:
 - Shares costs in building and maintaining collaborative funds
 - Participates in member-initiated projects, working groups and learning cohorts
- Invitations to Practitioner-level learning and strategy meetings
- Priority to Confluence staffing and services
- Priority to Confluence events
- Full access to Advisors Bay, our online peer-referred database showcasing the top investment advisors, intermediaries, consultants, and asset managers in impact investing
- Discounted participation to the Next Gen Leadership Program for Trustees under 35 years of age
- Networking opportunities to serve as spokespersons for the field at Confluence co-sponsored events
- Membership discounts to investors' events and consultants' resources (when available)

Become a Member of the Practitioners' Program!

Participation in the Practitioners' Program is based on a sliding scale by organizational asset size. Foundations and individual donors are welcome to apply for membership by contacting CEO, Dana Lanza.



Join the Leadership of Confluence Philanthropy!

Are you excited by the idea of building the field or mission-related investing? Would you like to expand your sphere to include more colleagues with like-minded ideas about philanthropy? Then why not join a Confluence Membership Committee?

Confluence members represent a diversity of institutions, personal and professional roles, and programmatic missions but they all share a commitment to a vision of mission-aligned philanthropy. From our annual conference, to webinars and trainings, to working groups focused on specific areas of investment and change strategies, Confluence's programs translate learning and connection into concrete action.

The Confluence Board of Directors is currently recruiting members' participation in the following organizational committees:

Board of Directors

Conference Committee

Nominees for the

2015 Next Gen Fellowship Program

(Cohort 4)

Would you like to recommend yourself or a colleague to serve and help us to lead Confluence into the future? If so, please let us know!



*"Our prime purpose in this life is to help others.
And if you can't help them, at least don't hurt them."*

DALAI LAMA XIV

Board of Directors

By providing vision, leadership, and accountability the Board of Directors plays a vital role in ensuring that Confluence continues to produce programs and services that meet the needs of its members and builds the field of mission-related investing. The Board works closely with the Confluence CEO and staff to ensure the operational impact and financial integrity of the organization. Board Members may serve two, three-year terms. Confluence Board Service generates significant rewards. Board members have the opportunity to:

- Broaden their range of awareness and knowledge of the field of mission-related investing
- Provide valuable service to help strengthen and broaden the impact of Confluence Philanthropy's programs
- Assist in building the field of mission-related investing by developing strategic initiatives and taking on leadership roles to further communication and collaboration
- Develop beneficial and enjoyable relationships with philanthropic peers outside of their own programmatic and geographic scope

To learn more about joining the Board of Directors please feel free to reach out to any of the current Board Members, or Dana Lanza, our CEO.

Conference Committee

The 2015 Practitioners Meeting will be held at Cavallo Point, in Sausalito, California. Conference Committee members will assist in designing the plenaries, assisting in the selection of speakers, and conducting outreach. The time commitment includes two group teleconferences and communication by email and phone as needed. Each Committee Member will be asked to identify a component of the conference that they would like to help with, and to assist in stewardship. This is a great way to become more involved with Confluence while meeting peers in the mission-related investing community.

Next Gen Fellowship Program, A Partnership with Resource Generation

The Next Gen Fellowship Program is a 6-month intensive peer-to-peer learning program for trustees and individual donors, under 35 years of age, seeking to align their values with the management of their assets. Next Gen Fellows work together to answer the following question:

**How can the next generation of donors leverage the full weight
of their philanthropic assets and personal resources for social change?**

Previous Fellows have moved millions of investable dollars towards the issues that they care about, such as sustainable development in Native American communities; affordable housing in low-income city neighborhoods; public education; and clean water in the poorest areas of Mumbai. No matter the passion, the Next Gen Fellowship helps strengthen the capacity for social change with the alignment of personal or philanthropic assets with tangible goals. Nominations for Cohort Four will be accepted on a rolling basis. The 2015 Program will begin at the 2015 Practitioners Meeting.



TUESDAY, FEBRUARY 4, 2014 | Pre-Conference

7:00am - 8:00am | Pre-Conference Registration | Registration Table

8:00am - 9:00am | Breakfast | Dining Room

9:00am - 12:00am | Practitioners–Level Institute: The Implications of Divestment | Hacienda

(For Confluence Members only. Lunch served in room.)

Philanthropy is abuzz about the growing divestment movement that has spread from university campuses to endowments of all types. To date, billions of dollars have been aligned with a commitment to divest from some of the worst carbon polluting companies. What is required for all this divestment and reinvestment to add up to real change? Does the oil and gas industry care about this movement? Join us for a critical discussion with some of the top experts in mission-related investing as they share their reflections about the divestment movement; their reaction to client demand for reinvestment opportunities; and their firms' investment concepts about the future of the economy and opportunities for market-rate investment. Where are the pressure points on the carbon complex—and are we pushing them? This is a three-hour institute that will be moderated in two rounds of fishbowl-style discussion with a short break between rounds. Audience members will deliver questions in writing to the moderator. The event is followed by an unstructured luncheon for funders and participants.

Speakers:

Deborah Gordon, Senior Associate Carnegie Endowment for International Peace (Moderator)
 Richard Woo, CEO, The Russell Family Foundation (Moderator)
 John Goldstein, Managing Director, Imprint Capital
 Brad Harrison, MRI Analyst, Threshold Group
 Patricia Farrar-Rivas, CEO, Veris Wealth Partners
 Mark Peters, Senior Consultant, Federal Street Advisors
 Raul Pomares, Co-Founder, Sonen Capital
 Tim Smith, Director of ESG Engagement, Walden Asset Management
 Tom Van Dyck, Senior Vice President, RBC Wealth Management
 Mariela M. Vargova, Senior Sustainability Analyst, Socially Responsive Investments, Rock & Co.

10:00am - 12:00pm | Mission-Related Investing 101: MRI vs PRI and The Duty of Fiduciaries | Canyon

Mission-related investing has quickly gained a solid footing in philanthropic circles. This shift has already helped to move billions of dollars; however, it has also raised new questions regarding fiduciary duties. For many would-be practitioners, the ways in which IRS regulations are interpreted can cause tension and even disagreement among boards and investment and legal staff about what is allowable and within the spirit and intent of the mission. What is the difference between mission related and program related investing? How can newcomers use intermediaries to reduce the risk and tension around managing investees? Join us for deep discussion—and lots of coffee—as we engage these topics with seasoned practitioners from the field. The National Committee for Responsive Philanthropy will also share findings from their recent study of mission-related investing.

Speakers:

Melanie Audette, Education and Training Manager, Mission Investors Exchange
 Cynthia Muller, Director, Arabella Advisors
 Christine Reeves, Senior Field Associate, The National Committee for Responsive Philanthropy
 Steve Viederman, Philanthropic Consultant

12:00pm - 1:30pm | Luncheon: Newcomer Meet and Greet with Confluence CEO, Dana Lanza | Kiva A

1:30pm - 3:00pm | Activating Grantees for Shareholder Activism | Library

Did you know that it's possible to provide grants for shareholder activism? For just \$2,000, a grantee organization can become a shareholder in a company and then file and co-file resolutions. Join us for a workshop where you will learn from experienced funders how to bring this component to your grantmaking while avoiding any pitfalls. Please bring your ideas for shareholder campaigns to discuss, too!

Speakers:

Jay Halfon, Legal Counsel (Moderator)
 Meredith Benton, Vice President, Boston Common
 Ariane Van Buren, Donor, Threshold Foundation
 Maurice Weeks, Campaign Coordinator, The Alliance of Californians for Community Empowerment

1:30pm - 3:00pm | Building Your Investment Team | Canyon

Once a foundation has decided to set out on the road to mission-related investing, the journey has just begun. Often, new expertise must be brought in and even new staffing. Sharing resources and due diligence with funder colleagues is key. Join practitioners as they offer their experiences around building their MRI investment teams. Several resources including a Request for Proposals for an Investment Advisor will be provided.

Speakers:

Jen Astone, Managing Director, The Swift Foundation
 Diane Schmidt, Chief Financial Officer, Blue Moon Fund
 Gerry Watson, Vice President of Finance and Operations, Rockefeller Brothers Fund

3:00pm - 4:30pm | Who's Who in the World of Working Groups? A Funder-Only Sharing Session | Kiva A

Join Confluence members and friends for a discussion-based session that will provide a basic overview of funder working groups in mission-related investing. What are the thematic opportunities? Who are the leaders? What are the various groups learning about? Where are commonalities and overlap? How can funder working groups share information and resources to accelerate the field? This session is hosted in collaboration with Mission Investors Exchange.

Confluence Working Groups Include:

- **Bio-Cultural Diversity Working Group** Sonja Swift, Trustee, Swift Foundation
- **Mission Fish Working Group** Mark Spalding, President, Ocean Foundation
- **Proxy Stewardship Working Group**
- **Water Investors Working Group** Jon Jensen, Executive Director, Park Foundation

Speakers:

Anne Stetson, Trustee, The John Merck Fund (Moderator)
 Melanie Audette, Education and Training Manager, Mission Investors Exchange

4:30pm - 5:30pm | Private Working Group Meetings | See Registration Desk for Meeting Room Locations

Learn more, enjoy refreshments, and engage in discussion with the working groups below:

- **Bio-Cultural Diversity Working Group** (*newly forming!*) Facilitated by Sonja Swift, Trustee, Swift Foundation
- **Mission Fish Working Group** Facilitated by Mark Spalding, President, Ocean Foundation
- **Proxy Stewardship Working Group**
- **Water Investors Working Group** Facilitated by Jon Jensen, Executive Director, Park Foundation

If you would like to reserve a conference room, please contact Sarah DeNicola: sarah@confluencephilanthropy.org
Room reservation requests will be taken until January 15, 2014.

5:30pm - 6:00pm | Walk to Dinner (*Check in at conference registration desk for shuttle assistance. Shuttle seating is limited.*)

6:00pm - 7:00pm | Funder-Only Reception | Vanessie of Santa Fe, 434 W San Francisco St.

7:00pm - 9:00pm | Funder-Only Dinner | Vanessie of Santa Fe, 434 W San Francisco St.

A funder-only reception and dinner will be hosted by The Santa Fe Community Foundation at Vanessie of Santa Fe Restaurant and Lounge. Vanessie is a short walk from the Hotel Santa Fe. Please join us for live music, networking among grantmakers, and a delicious meal!

"The less there is to justify a traditional custom, the harder it is to get rid of it."

MARK TWAIN, THE ADVENTURES OF TOM SAWYER



WEDNESDAY, FEBRUARY 5, 2014 | *Funder-Only Day*

7:30am - 9:00am | **Funder-Only Day Registration** | [Registration Table](#)

7:00am - 8:15am | **Breakfast at the Hotel Santa Fe** | [Dining Room](#)

8:30am - 9:00am | **Welcome** | [Kiva A, B, C](#)

Speakers:

Dana Lanza, CEO, Confluence Philanthropy

Alvin Warren, Program Officer for New Mexico Programs, W.K. Kellogg Foundation

9:00am - 10:30am | **Plenary: Voices of Our Elders** | [Kiva A, B, C](#)

The 2014 Practitioners Meeting is themed 'Honoring Legacy, Aligning Our Values.' We chose New Mexico as the venue for our conference because it embodies the current state of mission-related investing: a deep respect for tradition that is being stretched to respond creatively to the new challenges presented by our changing times. The opening plenary showcases voices of some of the Confluence community's most respected pioneers in mission-related investing: Steve Viederman, former President of the Jessie Smith Noyes Foundation; Josh Mailman, co-founder of SVN, Investors Circle, and multiple successful businesses; and Amy Domini, Founder and CEO of Domini Investments, one of the leading women in socially responsible investing over the past several decades. Each elder will be interviewed for 15 minutes by a field builder who has benefited from their leadership. Suzanne Biegel, a global impact investing pioneer in her own right, leads the facilitated discussion to follow.

Speakers:

Suzanne Biegel, Donor, Womens Donor Network, Vice Chair, Confluence Board of Directors, Catalyst At Large (Moderator)

Amy Domini, Founder and CEO, Domini Social Investments

Richard Graves, Founder, Fired Up Fund

Jon Jensen, Executive Director, Park Foundation

Josh Mailman, Founder, Threshold Foundation

Laurie J. Spengler, President and CEO, Enclude

Steve Viederman, Philanthropic Consultant

10:30am - 10:45am | **Break**

10:45am - 11:45am | **Funder Keynote: Antony Bugg-Levine, CEO, Non Profit Finance Fund** | [Kiva A, B, C](#)

11:45am - 12:15pm | **Community Reflections and Discussion with Suzanne Biegel, Catalyst At Large and Vice Chair, Confluence Philanthropy** | [Kiva A, B, C](#)

12:15pm - 2:00pm | **Lunch** | [Dining Room](#)

- Ad Hoc Discussion: Integrating MRI into Your Staffing Structure
- Ad Hoc Discussion: Movement Building and Reinvestment, with Bonwood Social Ventures

2:00pm - 3:30pm | **Small Sessions: Choose Among Five**

Session 1 | 100% Impact | Hacienda

This session will bring the leading practitioners from around the world together, who have committed 100% of their assets to positive social and/or environmental impact. They have all made a conscious decision to align their values with all of their assets. We will explore different approaches, lessons learned, as well as challenges; and share our vision for the future.

Speakers:

Ellen Friedman, Executive Director, The Compton Foundation (Moderator)

Lauren Embrey, Philanthropic Vision, Embrey Family Foundation

Kristin Hull, President, Nia Fund

Kathleen Starr, Vice President, Capital Deployment, FB Heron Foundation

Session 2 | Can Impact Investing Truly Reach Marginalized Communities? | Kiva C

What do small-scale fishermen in New England and Alaska have in common with native communities in the pueblos of New Mexico? They all lack access to conventional forms of financing, and although the needs and opportunities are great, they are still being underserved by the growing field of impact investing. What are the barriers to mission investing in financially marginalized communities, and how can funders more effectively direct resources to where they are most needed? This discussion-based forum will draw from the experiences of Confluence Philanthropy's working groups on MRI in Sustainable Fisheries & Food Systems, and the Southwest Native Green Loan Fund. The session will focus on PRIs and CDFIs specific to these two case studies, and will challenge participants to engage in collective problem-solving.

Speakers:

Brian Byrnes, President and CEO, Santa Fe Community Foundation (Moderator)

Dave Castillo, CEO, Native Home Capital

Patricia Farrar-Rivas, CEO, Veris Wealth Partners

Paul Parker, Cape Code Fisheries Trust

Session 3 | Changing the Food System: How to Promote Affordable Local Food | Kiva A

Concerns with our food culture are driving researchers, entrepreneurs, and—notably—philanthropists and investors to explore better ways to produce food. The goal is a sustainable approach that uses fewer resources and is more versatile, and therefore able to grow healthier communities by reducing obesity rates; addressing food deserts; increasing employment; protecting the environment; and more. Recirculating farming is a popular movement in the US and beyond, using nutrient-rich water to grow food without soil in a constantly recycling, closed-loop system. These farms recycle waste, can rely on rainwater and alternative energy, and come in a wide range of shapes and sizes. Their versatility allows them to be located virtually anywhere—and, importantly, right where food is needed, thereby cutting down on fuel used for shipping and refrigeration. Recirculating farms can raise plants (hydroponics), fish (aquaculture), or fish and plants together (aquaponics). This panel will discuss opportunities to promote affordable local food and healthy lifestyle initiatives by investing in innovative, sustainable farming education, training, and development.

Speakers:

Bill Sommers, President, Permaculture Credit Union (Moderator)

Marianne Cufone, Executive Director, Recirculating Farms Coalition

Christy Oliver Reeves, Executive Director, Blue Cross and Blue Shield of Louisiana Foundation

Rebecca Nelson, Co-founder, Nelson and Pade, Inc.

Session 4 | Entrepreneurship Can Drive Economic Development in Rural Communities | Library

We have come to realize that rural factory-based economies are not capable of ensuring the long-term health and sustainability of communities or ecosystems. A new paradigm is emerging that generates jobs and creates sustainable rural economies for the future. And guess what? Mission-related investing has a role to play! In many parts of the country, there are innovative, asset-based rural development and entrepreneurial activities that are allowing people to stay in the towns where they grew up, while simultaneously revitalizing business opportunities. With examples from New Mexico, but with a focus on the US as a whole, this session will explore some of these success stories and demonstrate a different model—one that uses entrepreneurialism, community coaches at a grassroots level, and an asset-based approach to job creation and community revitalization.

Speakers:

Alan M. Webber, Candidate for Governor of New Mexico, Harvard Business Review (Moderator)

Beverlee J. McClure, CEO, New Mexico Association of Commerce and Industry

Al Silva, General Manager & COO, Labatt Food Service

“The bird that would soar above the level plain of tradition and prejudice must have strong wings.” KATE CHOPIN



Session 5 | Using Collaboration to Get More Bang for the Buck | Canyon

What is the role and benefit of building community and networks to support grant and investing objectives? This interactive session will explore the challenges and benefits of collaborative undertakings. This includes blending different capital for greater impact and ability to support changemakers in a more holistic manner. Bring your questions, examples and puzzles to participate in this lively discussion.

Speakers:

Drew Tulchin, Managing Partner, Social Enterprise Associates (Moderator)
Andy Lower, Founder, ADAP Capital LLC
Joohee Rand, Director of Strategic Initiatives, Santa Fe Community Foundation
Jason Scott, CEO, Eko Asset Management

3:30pm - 5:30pm | Free Time

3:45pm - 5:30pm | Optional Tour and Discussion of Santa Fe Railyard Project | Santa Fe Railyard

(Off site. Advisors Welcome)

Join Steve Robinson, Principal and Architect, and Jenny Parks, Executive Director of the New Mexico Community Foundation, to learn about the history and development of the Santa Fe Railyard. Serving as an example of urban redevelopment, the Santa Fe Railyard has been transformed into a sustainable urban hub helping to connect the local community through art, food, music, and nature. *Please to be sure to bring warm clothing (coats, gloves, hats, etc.) for the outdoor portion of the tour. All participants should gather in the hotel lobby by 3:45pm.*

Speakers:

Jenny Parks, Executive Director, New Mexico Community Foundation
Steve Robinson, President, Santa Fe Community Railyard Corporation

5:30pm - 7:00pm | The Power of Investing in Women; The Power of Women as Investors | Santa Fe Farmers' Market Pavilion

Women are changing the face of the investment world in two ways, from both ends of the economic spectrum. Women run more than 10 million businesses in the US alone, with combined annual sales of \$1.1 trillion, and make 80 percent of consumer purchases nationwide. At nearly every income level, women donate almost twice as much to charity as men. Women will inherit 70 percent of the \$41 trillion in intergenerational wealth transfer over the next 40 years. And, by 2025, 60 percent of billionaires are expected to be women. Yet women are not participating actively as investors. Assets are by and large managed by men and funds are directed by men; women represent less than 15% of angel investors and less than 10% of fund managers, and are dramatically underrepresented on investment committees of foundations. On the other end of the economic spectrum, and on a worldwide basis, women own less than 10% of land, and are left out of inheritance, business ownership, and asset aggregation. Meanwhile, it has been proven that investing in women and women's economic development leads to a fivefold return on investment. Women almost always reinvest in their families, their children's education, and their community, at a rate more than double their male counterparts. Even microgrants and loans can make huge differences in economically distressed communities—both in the US and globally. Women entrepreneurs and workers are at the heart of global issues of poverty, food security, climate change, water issues, environmental health, economic development, and so much more. This panel will discuss both women's investment power and the power of investing in women—and, more specifically, the role of foundations in changing the equation.

Speakers:

Jenny Parks, Executive Director, New Mexico Community Foundation (Moderator)
Katherine Collins, Founder and CEO, Honeybee Capital
Lauren Embrey, Philanthropic Vision, Embrey Family Foundation
Connie Evans, CEO, Association for Enterprise Opportunity

7:00pm - 10:00pm | Networking Reception and Strolling Dinner at the Farmers' Market | Santa Fe Farmers' Market Building

Please join us for an evening event full of good music, food, and drink! This strolling dinner will offer a great opportunity to relax and mingle with other conference attendees while enjoying fresh, locally sourced fare. Casually walk through the Farmers' Market Building while you network, and get a taste of New Mexico's best local products straight from the farmers themselves. The dinner will take place in the Santa Fe Farmers' Market Building—the first new construction in downtown Santa Fe that is LEED Gold certified.

Hot Honey, Santa Fe's best new local band, will provide musical entertainment throughout the evening as well! Lori Ottino, Lucy Barna, and Paige Barton make up this folksy all-female band which has quickly become a must-see act in Santa Fe.

THURSDAY, FEBRUARY 6, 2014 | Advisors Day

7:00am - 8:15am | Breakfast at the Hotel Santa Fe with Optional Ad Hoc Discussions | Dining Room

- Ad Hoc Discussion: Philanthropic Facilitation Act (PFA), led by The Sorenson Foundation
- Ad Hoc Discussion: Getting By the Barriers to Proxy Voting, led by Singing Field Foundation

8:15am - 8:30am | Welcome with Secretary Arthur Allison, Cabinet Secretary, New Mexico Indian Affairs Department | Kiva A, B, C

8:30am - 9:30am | Advisors Keynote: David Chen, Co-founder & Principal, Equilibrium Capital Partner | Kiva A, B, C

9:30am - 9:45am | Break

9:45am - 11:30am | Plenary: Divest? Reinvest! | Kiva A, B, C

The divestment movement which began in universities has spread to cities, states, hospitals, faith communities, and pension funds and is now expanding to individuals and the philanthropic community. Exposing the fossil fuel industry's efforts to block progress towards a clean, renewable energy future while drawing on a core innovation of Anti-Apartheid activism, today's divestment movement puts forward the financial risks of investing in fossil fuels as it emphasizes the moral imperative to divest. Perhaps most importantly, proponents of the Divest / Reinvest Movement advocate for a new vision of investment that will advance climate solutions by shifting away from the prevailing carbon dependent economic paradigm. In this plenary a panel of content experts will share the important philanthropic story behind the divestment movement, why divestment from fossil fuels is the investor's imperative of our time, and what reinvestment looks like today and in an emerging low carbon future. The session is led by Lisa Woll, CEO of US SIF, the leading trade association in responsible investing.

Special Remarks:

Jules Kortenhurst, CEO, Rocky Mountain Institute

Speakers:

Lisa Woll, CEO, US SIF (Moderator)
Mark Campanale, Founder and Deputy Chairman, Carbon Tracker
Ellen Dorsey, Executive Director, Wallace Global Fund
Tom Van Dyck, Senior Vice President, RBC Wealth Management

11:30am - 11:45am | Break

11:45am - 1:00pm | Small Sessions: Choose Among Five

Session 1 | First Loss Capital – Is It the Dumbest or the Smartest Money in the Room? | Kiva B

Alongside the established role that foundations hold in investing in frontier markets, they must also play a leadership role in providing first-loss capital. In her presentation at the G-8 Social Impact Investment Forum, Judith Rodin said, "Philanthropy must do what it does best: peel back the first layer of risk, and experiment where other sectors cannot, making development investment dollars more productive and less risky." First-loss, which can take the form of grants, equity, debt, and/or guarantees, serves a catalytic role in reducing barriers to entry and demonstrating commercial viability, thereby enabling the entrance of other investors. Leveraging research currently being undertaken by the Global Impact Investing Network (GIIN), this session will explore the importance of first-loss capital and the potential for an increased involvement of foundations. The panel will look at examples of opportunities where first-loss capital enabled deals to successfully close and ones where its absence prevented impactful deals from happening.

Speakers:

Abhilash Mudaliar, Manager, Global Impact Investing Network (Moderator)
Sally Boulter, Senior Officer for Strategic Development, ImpactAssets
Matthew W. Patsky, CEO, Trillium Asset Management
Debra Shapira, Manager of Institutional Relations, Root Capital



“If the future is to remain open and free, we need people who can tolerate the unknown, who will not need the support of completely worked out systems or traditional blueprints from the past.”

MARGARET MEAD

Session 2 | Stories to Inspire and Motivate! | Canyon

Where we invest makes a difference. This panel will present stories of intentional investments and the positive changes they created. Experts will share their experiences with various types of impact investments, highlighting why they were attracted to the investments, how they made the decision to invest, risks and opportunities they considered, how they defined success (or failure), and what they are inspired to do today. We will present a cross-section of investments including venture capital/private equity, public equity, and community based loan and equity programs.

Speakers:

John Goldstein, Managing Director, Imprint Capital (Moderator)
Meredith Benton, Vice President, Boston Common
Raul Pomares, Co-Founder, Sonen Capital
Monica Pressley, CFO, The San Francisco Foundation

Session 3 | Tales from the Fire: Lessons Learned from PRIs | Hacienda

Program-related investments promise strong social and/ or environmental impact in exchange for high risk and lower return. Due diligence is required to guarantee even this minimum return, and things don't always go as planned. Join domestic and international funders as they reflect upon three PRIs as case studies in which the risk exceeded the return. What can be learned from these Tales from the Fire?

Speakers:

Susan Phinney Silver, Program Director, The David & Lucile Packard Foundation (Moderator)
Astrid Scholz, President, Ecotrust
Debra Schwartz, Director of Program Related Investments, MacArthur Foundation
Richard Woo, CEO, Russell Family Foundation

Session 4 | Addressing Water Scarcity through Alternative Financing and Public-Private Partnerships | Kiva C

Water scarcity is a growing problem across the globe and, increasingly, in the Southwest region of the US. Just this year New Mexico suffered a historic drought, the likes of which has not been seen since the 1950's. While prolonged dry conditions are a natural part of life in the Southwest, climate change and extreme weather events have increased the risks related to water scarcity in this area. It is clear that investment is needed to adapt inefficient water systems and address depleting water resources, but the array of actors and financial structures involved often complicates meaningful progress. Using the Southwest as an example, this session will explore the possibilities of leveraging public-private partnerships, grants, and private investment to manage water scarcity issues. Confluence Philanthropy's working group, Water Investors, will share lessons learned and opportunities in the field for funder collaboration.

Speakers:

Margaret Bowman, Environment Program Director, Walton Family Foundation (Moderator)
Tom Carnac, President, CDP North America
Marquita Russell, Chief of Programs, New Mexico Finance Authority
Jon Scott, President and Director, Singing Field Foundation
Rob Steiner, Director of Special Projects, Summit Global

Session 5 | Broad ESG Integration | Kiva A

This panel will explore how investors can generate positive social and environmental impacts from their traditional equity and fixed income holdings while earning a competitive and even market-leading rate of return. The panelists will explore different approaches, ranging from strategies integrating ESG factors into the investment and active ownership process, to global sustainability themed equity and fixed income investments. The panel is especially relevant for investors who are interested in investing a higher portion of their total assets (beyond MRI and PRIs) in impact-generating strategies.

Speakers:

Tim Smith, Director of ESG Engagement, Walden Asset Management (Moderator)
Craig Metrick, Principal and US Head of Responsible Investment, Mercer
Mark Peters, Senior Consultant, Federal Street Advisors
David Sand, Chief Investment Strategist, Community Capital Management

1:00pm - 2:00pm | Networking Lunch with Optional Ad Hoc Discussion Upon Request | Dining Room

- Ad Hoc Discussion: Rethinking Term sheets with Expansion Capital

2:00pm - 3:00pm | Confluence Community Meeting and Open Discussion for the Year Ahead | Kiva B, C

3:00pm - 5:00pm | Networking Time (with beverages served) | Dining Room

Attendees are encouraged to visit the conference attendee list and use this time for advance planned meetings or impromptu networking.

5:00pm - 5:15pm | Thank You and Adjourn | Dining Room

*“Do not believe in anything simply because you have heard it.
Do not believe in anything simply because it is spoken and rumored by many.
Do not believe in anything simply because it is found written in your religious books.
Do not believe in anything merely on the authority of your teachers and elders.
Do not believe in traditions because they have been handed down for many generations.
But after observation and analysis, when you find that anything agrees with reason
and is conducive to the good and benefit of one and all, then accept it and live up to it.”*

GAUTAMA BUDDHA



Jen Astone joined Swift Foundation in 2011 as its first Managing Director. Swift provides grants and investment capital to promote biological and cultural diversity with a focus on land stewards and agro-ecology. Swift Foundation partners with the International Fund for Amplifying Agro-Ecological Solutions and the Global Alliance for the Future of Food. Prior to Swift, she served as the first Executive Director of the Firelight Foundation and as Africa Program Officer for the Global Fund for Women. Jen has consulted with donors, foundations, and associations on international programs, women's rights, and direct grantmaking to NGOs. Equipped with a Ph.D. in anthropology, she entered the brave new world of impact investing. The process of moving from a program and oversight role to one in which she is called upon to integrate Swift's work across their portfolio has been a challenging journey of numbers and meaning.

Melanie Audette is Education and Training Manager at Mission Investors Exchange, a national association of over 250 foundations contemplating or already involved in mission investing. She began her career as a member of the US Foreign Service, with postings at the State Department and embassies in London and Ecuador. Upon her return to the United States, Melanie managed the family offices and foundation for the Behnke family of Seattle. She also became vice president of Indiana Grantmakers Alliance and president of Audette Communications, LLC, serving philanthropy clients such as the Indiana University Lilly Family School of Philanthropy. She has a degree in communication studies from Indiana University and is co-founder of the Youth Philanthropy Initiative of Indiana. Connect with Melanie and Mission Investors Exchange on Twitter @melaudette or @missioninvest.

Doug Bauer is the Chair of Confluence Philanthropy's board and Vice President and Executive Director of The Clark Foundation, which focuses on helping individuals lead independent and productive lives and supports nonprofits and programs in New York City and Cooperstown, NY. Doug Bauer manages not only the Clark Foundation but is also Executive Director of the Scriven and Fernleigh Foundations. Prior to Clark, Doug was a Senior Vice President with Rockefeller Philanthropy Advisors (RPA) from 2002 to 2009 and led the organization's Strategic Initiatives Team. Prior to joining RPA, he was a Vice President at Goldman Sachs and President of the Goldman Sachs Philanthropy Fund, the firm's charitable gift fund. From 1997 to 2000, Doug was Director of Community Partnership at SmithKline Beecham (now GlaxoSmithKline) and Executive Director of the SmithKline Beecham Foundation, where he focused on community-based health care around the world. Doug was also a Program Officer for Culture at the Pew Charitable Trusts and managed the Scott Paper Company Foundation. He is also an adjunct faculty member at the University of Pennsylvania and The Business School of Columbia University where he teaches courses on philanthropy and the nonprofit sector. Doug is a graduate from Michigan State University and holds an M.S. from Penn and an M.J. from Temple University.

Meredith Benton is a Vice President at Boston Common Asset Management, an investment manager, and a leader in global sustainability initiatives. She works from Boston Common's West Coast office, assisting high net worth and institutional clients with investment management services. In addition, Meredith engages with companies in client portfolios to improve their financial, social, environmental, and governance profiles. She was formerly the Associate Director of Social Research at Walden Asset Management where she coordinated the social research process and led shareholder engagement programs. Meredith was also a Senior Director at Amtrak, where she managed state contracts and focused

on train service and costs in the Western States. She earned her MBA at the international business school, INSEAD, and her bachelor's degree from Oberlin College. In 2009, Meredith was awarded a Robin Cosgrove Prize for Business and Ethics. She is a board member of US SIF—The Forum for Sustainable and Responsible Investment.

Suzanne Biegel is the Vice Chair of the Confluence Philanthropy Board, and runs Clearly Social Angels in the UK. She has been a long time angel investor member of Investors' Circle and served as its CEO in 2010-2011. For the past twelve years, Suzanne has had her own consulting practice, Catalyst at Large. She has brought her visionary leadership as an entrepreneur, a coach and mentor, a board member, a philanthropist, and an active mission-related investor to a variety of projects. She is a frequent public speaker and moderator in the realm of impact investing, responsible business, and philanthropy. Suzanne was one of the co-founders of the Patient Capital Collaborative, a collaborative angel fund of Investors' Circle. Suzanne is a Catto Fellow at the Aspen Institute and an active member of other aligned networks including Social Venture Network and Women Donors' Network. She is a member of the global Wharton Impact Investing Advisory Council. And a founding supporter of the Women Effect Investments initiative from Criterion Institute. A native New Yorker, Suzanne is now based in London, England. Suzanne holds degrees from the Wharton Business School and the Annenberg School of Communication.

Sally Boulter is the Senior Officer for Strategic Development at ImpactAssets where she is responsible for client services and raising philanthropic capital for strategic initiatives. Prior to joining ImpactAssets, Sally was responsible for individual constituent relationship building and capital raising at the Wilson Center, Calvert Foundation, and the Bonner Group. Sally also served as the Development Director for the Child Guidance Center of Southern Connecticut, the YWCA of Greenwich, and The Discovery Museum in Bridgeport, CT. She is a graduate of Hamilton College.

Margaret Bowman is the Acting Environment Program Director at the Walton Family Foundation, which pursues lasting conservation solutions for oceans and rivers while recognizing the role these waters play in the livelihoods of those who live and work nearby. Prior to joining the Foundation in 2008, Margaret directed the Lenfest Ocean Program at The Pew Charitable Trusts, where she supported policy-relevant scientific research related to sustainable fisheries. For over a decade Margaret worked at American Rivers, a group dedicated to protecting and restoring healthy, natural rivers. Margaret held various titles while at American Rivers, including Vice President for Conservation, Director of the Dam Removal Program, and Chair of the national Hydropower Reform Coalition. Margaret has also directed the Environmental Program for Central and Eastern Europe for the Environmental Law Institute, served as an environmental consultant to the Czechoslovak government, and worked as an attorney in private practice. She received a B.A. from Wesleyan University and a J.D. from Harvard Law School.

Antony Bugg-Levine is the CEO of Nonprofit Finance Fund, a national nonprofit and financial intermediary that unlocks the potential of mission-driven organizations through tailored investment, strategic advice, and accessible insights. In this role, he oversees more than \$300 million of investment capital and a national consulting practice, and works with a range of philanthropic, private sector and government partners to develop and implement innovative approaches to financing social change. He is the co-author of Impact Investing: Transforming

How We Make Money While Making a Difference (Wiley, 2011). From 2007-2011, he designed and led the Rockefeller Foundation's impact investing initiative and convened the meeting that coined the phrase "impact investing". He is the founding board chair of the Global Impact Investing Network. Previously, Mr. Bugg-Levine was the country director for Kenya and Uganda for TechnoServe and a consultant with McKinsey. He is an associate adjunct professor at the Columbia Business School.

Brian Byrnes is President and CEO of the Santa Fe Community Foundation. He currently is chair-elect of the board of CFLeads, and is a member of the Community Foundation Leadership Team at the Council on Foundations. He was the chair of the 2012 Fall Conference for Community Foundations. Brian has been an advocate for more aggressive community leadership among community foundations, new thinking about community-leadership-based business models, and impact investing for smaller- and mid-sized community foundations. Brian worked in AIDS service organizations for 11 years before entering the philanthropic sector. He has also served as the President and CEO of the Vermont Community Foundation, Managing Director of Tides Foundation, and Interim Executive Director of Chez Panisse Foundation.

Mark Campanale is the founder and Deputy Chairman of the Carbon Tracker Initiative and conceived the 'unburnable carbon' markets thesis. He was editor of 'Unburnable Carbon, are markets carrying a carbon bubble' report published in 2011. Mark is responsible for strategy, board matters and developing our capital markets framework analysis. Prior to forming Carbon Tracker, Mark had twenty years experience in sustainable financial markets. Mark is a co-founder of some of the first responsible investment funds at Jupiter Asset Management, NPI, AMP Capital, and Henderson Global Investors. Mark served on the World Business Council for Sustainable Development working group on capital markets leading up to the 1992 Earth Summit; was a Member of the Steering Committee of UNEP Financial Sector Initiative (1999-2003), and continues to advise a number of impact investment funds. Mark was a founder director of the UK Sustainable and Responsible Investment Forum (UKSIF), 1990-2006, is a member of the Advisory Council of SASB (Sustainable Accounting Standards Board) and ImpactBase.org and the UNEP-WRI working group on greenhouse gas emissions and the financial sector. He is an advisor to Halloran Philanthropies.

Tom Carnac is the President of CDP North America. Based in the NYC office, Tom has overall responsibility for strategy and operations across the range of CDP programs including water, forest, and climate change disclosure. Other areas include the Supply Chain program, investor engagement, and cities disclosure. Prior to taking this position Tom worked in a variety of roles across CDP for five years, including founding the CDP Cities program, working with the White House and Federal agencies on government procurement, and serving in a global role as Director of Innovation. Prior to CDP he spent 10 years in corporate sustainability and consultancy, including with BT and Dyson. He has also worked for the United Nations Commission on Sustainable Development in their technology track. Tom holds a BSc (Hons) in Environment and Economics from the University of Bath and an MSc in Philosophy of Science.

Dave Castillo has served as the CEO for Native Home Capital (NHC) since 2010. Incorporated in 2005, today NHC is a not-for-profit, certified, Native Community Development Financial Institution (CDFI). NHC provides technical assistance and financial capital primarily for tribal housing and community

development. In addition, NHC is the Fund Manager for the philanthropic-led Southwest Native Green Loan Fund. His prior contributions to the field of tribal community development occurred through work as Senior Deputy Director for Fannie Mae in Arizona, Economic Development Division Manager for the Salt River Pima-Maricopa Indian Community, and Community Development Director for the Inter Tribal Council of Arizona, Inc. Mr. Castillo holds undergraduate and graduate degrees from Stanford University and an MBA from the W.P. Carey School of Business at Arizona State University. He serves as a member of the Native CDFI Network Board of Directors, the Arizona Commission on Indian Affairs, and the Construction In Indian Country Conference Executive Board. Mr. Castillo is of Nahua Indian descent.

Dave Chen's commitment to sustainability is a result of his work in venture capital, regional economic development, and climate change policy. Throughout his career, Dave has seen the greatest impact—in his work, in investments, and in public services—when his heart, mind, and hands were deep in the task. In founding Equilibrium Capital Group, Dave repeats this pattern. In 2007, Dave was a visiting executive at the Meyer Memorial Trust developing an investment portfolio based on mission-related investing (MRI); this tool has since been adopted by several institutions. In late 2007, he applied the MRI findings to form Equilibrium Capital Group, a holding company building a portfolio of asset managers in key sustainability sectors. Each of these fund management companies is charged with executing a unique investment strategy in a targeted area: water, energy, agriculture, land, transportation, and human habitat/built environment. Dave is a co-founder and Principal at Equilibrium Capital Group. Previously, Dave was a general partner at OVP Venture Partners (2000-2007). His investments at OVP include @once (acquired by InfoUSA), Ambric, Intelligent Results (acquired by First Data), Net6 (acquired by Citrix), Tzero, and UXComm. He served on the board of @mobile prior to its acquisition by Software.com. Dave served on the board of HNC Software until it was merged with FICO in 2002 (NASDAQ:HNCS, now NYSE:FIC). Prior to OVP, Dave founded GeoTrust (acquired by VeriSign 2006) and The Ascent Group; was Vice President Marketing Mentor Graphics; was an associate at McKinsey & Company; and was an early team member at Solectron (1978-1985).

Katherine Collins is Founder and CEO of Honeybee Capital and author of the forthcoming book, The Nature of Investing. Honeybee Capital is an independent research firm, dedicated to pollinating ideas in pursuit of optimal investment decision making. After a long and successful career as head of research and portfolio manager at Fidelity Management & Research Company, Katherine set out to re-integrate her investment philosophy with the broader world: traveling as a pilgrim and volunteer; earning her MTS degree at Harvard Divinity School; and studying biomimicry and the natural world as guides for investing in an integrated, regenerative way, beneficial to our communities and our planet. Her newest neighbors in Massachusetts are several thousand honeybees.

Marianne Cufone, Esq. is the Executive Director and Founder of the Recirculating Farms Coalition. She is an environmental attorney and longtime healthy, affordable food advocate. She is also a professional chef, trained by the Natural Gourmet Institute, a leading facility on health supportive culinary arts and theory. For the past 12 years Marianne has worked in natural resources management, focused on oceans, fisheries, and seafood... She directed the fish and oceans program at Food & Water Watch (2007-2011); managed the Gulf, South Atlantic, and Caribbean fisheries program for the Center for Marine



Conservation (1999-2003); and runs Environment Matters, a consulting firm that provides legal, policy, and communications support to nonprofit organizations (2003-present). Marianne taught law and graduate college courses and gave many guest lectures at assorted academic institutions. She maintains positions on management advisory committees for Hillsborough County (Florida), the State of Florida, and the federal government. Marianne appears in print, television, radio, and other media; is published in various magazines and professional works; and testified before Congress. She is a member of the Florida Bar; the United States District Court, Middle District of Florida; the US Supreme Court; the American Bar Association, Section of Environment, Energy, and Resources; and the American Fisheries Society.

Amy Domini is Founder and CEO of Domini Social Investments. She is widely recognized as the leading voice for socially responsible investing. In 2005, Time magazine named her to the Time 100 list of the world's most influential people. In 2006, she was awarded an honorary Doctor of Business Administration degree from Northeastern University College of Law. Yale University's Berkeley Divinity School presented Ms. Domini with an honorary doctorate in 2007. In 2008, Ms. Domini was named to Directorship magazine's Directorship 100, the magazine's listing of the most influential people on corporate governance and in the boardroom.

Ellen Dorsey has 25 years experience promoting international human rights, particularly economic and social rights, and advocating for environmental sustainability. Dorsey is the Executive Director of the Wallace Global Fund, a private foundation located in Washington, DC, that focuses on environmental sustainability, corporate accountability, women's human rights, criminal justice, media reform, and civic participation. Dorsey came to the Fund from The Heinz Endowments in Pittsburgh, Pennsylvania, serving as senior program officer for the Environment Program. Dorsey has served on the board of numerous nonprofit organizations promoting human rights and sustainable development, including serving as chair of the board of Amnesty International USA. Dorsey has a doctorate in political science from the University of Pittsburgh, was selected as a Fulbright Research Fellow in South Africa, and has served on the faculty of several universities. She has lectured and written extensively on effective strategies of non-governmental organizations and social movements. Most recently, Dorsey is co-author, with Paul J. Nelson, of *New Rights Advocacy: Changing Strategies of Development and Human Rights NGOs*, published in 2008 by Georgetown University Press.

Lauren Embrey is Philanthropic Vision for the Embrey Family Foundation and CEO of Embrey Interests, Ltd. She serves on Boards and in Leadership capacities in Dallas, Washington DC, and New York City. She is Board Chair of The Women's Media Center in New York City. Lauren's passions are the performing arts, film, and human rights work. She is a producer and an investor in theater and film. Lauren is a member of The Women Donor's Network, Women Moving Millions, the Women's Leadership Board at the Kennedy Center at Harvard University, and The Threshold Foundation. Lauren has received many community and national awards for her strategic and effective philanthropic work. Lauren received her Bachelor's in Business Administrations and her Masters in Liberal Studies from Southern Methodist University in Dallas, TX. Lauren is currently working on her first fiction novel, scheduled to be completed in 2014.

Connie Evans is the President and CEO of the Association for Enterprise Opportunity (AEO) with a strong track record of innovative leadership and a

background as a social entrepreneur. Prior to AEO, Connie was the founding president of the Women's Self-Employment Project in Chicago, the first and largest urban microenterprise development organization in the U. S. and the first adaptation of the Grameen Bank model to a U. S. urban setting. She founded WSEP Ventures, a social enterprise nonprofit that catalyzed new ventures by nonprofit community-based leaders serving disenfranchised communities; and she founded CSolutions, a consulting boutique focused on solutions for social change in the U.S and internationally. She has served on numerous and diverse boards including the Federal Reserve Bank of Chicago, Social Venture Network, Ms. Foundation for Women, Global Fund for Women and the CDFI Advisory Board at the U.S. Treasury Department.

Patricia Farrar-Rivas is a founding principal, as well as the CEO and Chief Compliance Officer, of Veris Wealth Partners. She has been providing investment advisory and wealth management services since 1992. Patricia is a principal consultant to the Envestnet Sustainability Platform and a member of the Envestnet Advisory Board. Prior to Veris, Patricia led the effort by Silicon Valley-based public accounting firm, Frank, Rimerman + Co. to launch its new investment advisory subsidiary, Frank, Rimerman Advisors. She also co-founded the New York office of Progressive Asset Management in 1994. Earlier in her career, Patricia worked with Veris co-founder Michael Lent and community leaders in Central America on economic development, local empowerment, and social justice. She realized the degree to which economic factors were embedded in the challenges they faced, and, as the responsible investing industry was emerging in the US, turned her attention to advising asset owners on the potential impact of their investment policies and decisions. Patricia is a member of the Advisory Board for Envestnet Asset Management. She is a member of the Standards Council for the Sustainable Accounting Standards Board (SASB). Patricia is a Certified Investment Management Analyst (CIMA®) and a Certified Investment Strategist (CIS).

Ellen Friedman currently serves as Executive Director of The Compton Foundation which seeks to foster human and ecological security by addressing contemporary threats to these rights. Ellen also serves on the board of the New Field Foundation that focuses on the creation of a peaceful and equitable world by supporting women and their families to overcome poverty, violence, and injustice in their communities. Previously she served as the executive vice president of Tides where she worked for 23 years. Ellen brings deep experience in organizational design and management, innovative grant program development and implementation, and a passion for transformative social change around the world. She is a trustee of Futures Without Violence, formerly Family Violence Prevention Fund, and the Institute for Jewish Spirituality. She holds an MBA from UCLA.

John Goldstein co-founded Imprint Capital Advisors in June 2007. Previously, Mr. Goldstein was a co-founder of Medley Capital Management (MCM), a private investment firm that invests in corporate and asset-based financing opportunities globally. Prior to forming MCM, Mr. Goldstein served as Senior Managing Director of Medley Global Advisors, a leading independent policy intelligence firm for the world's largest financial institutions that was sold to private equity firms in December of 2005. During that time, Mr. Goldstein co-founded and served as the Executive Director of the Medley Institute, where he worked with a variety of development actors globally as a board member, senior advisor, or team member, including Global Giving, Distributed Capital, the International Interfaith Investment Group (3iG), Keystone/Access, the Sustainable Food Lab, Synergos/Generon/Monitor's Social Capital Market Accelerator, Aquaya,

Triple Bottom Line Institute, the Global Exchange for Social Investment, and the United Nations Capital Development Fund. Additionally, in that capacity, Mr. Goldstein has been a presenter at a range of conferences and events in the US and Europe. Prior to that, Mr. Goldstein was a management consultant in the strategy practice of Andersen Consulting (now Accenture), working with senior executives around the world in a number of industries on issues such as brand strategy, "buyer values" market research, global M&A, scenario planning, and new product development. Mr. Goldstein was an honors graduate of Yale University where he was awarded the Richter Fellowship and the Townsend Prize.

Deborah Gordon is a senior associate with the Carnegie Endowment for International Peace, Energy and Climate Program, where her research focuses on oil, climate, energy, and transportation issues in the United States, China, EU, and globally. Prior to joining Carnegie in 2010, for 15 years Gordon was a policy consultant specializing in transportation, energy, and the environment for foundation, nonprofit, academic, public, and private sector clients. From 1996 to 2000, Gordon co-directed the Transportation and Environment Program and taught at the Yale School of Forestry and Environmental Studies. From 1989 to 1996, she founded and then directed the Transportation Policy Program at the Union of Concerned Scientists. Additionally, Gordon has worked at the U.S. Department of Energy's Lawrence Berkeley Laboratory (1988-1989), developing low-carbon feebate policies under a grant from the U.S. Environmental Protection Agency. She began her career as a chemical engineer with Chevron in 1982.

Richard Graves, Co-founder, Ethical Electric is a veteran of online organizing, clean energy entrepreneurship, and mission-related investing. The founder of Fired Up Media, he served as VP, Project Finance for Mosaic, Online Organizer for the Webby-nominated, 17 million-person TckTckTck campaign and angel investor and advisor to startups, like Skyline Innovations, Faraday Bicycles, CrowdTangle, and SumofUs.org. He graduated from CPL's Executive Fellowship, IYF's Global YouthActionNet Fellowship, and the NextGen Fellowship in MRI, as well as Macalester College, where he developed the first student-led Clean Energy Revolving Fund. He collects interesting cooking equipment and might just make something tasty, if you ask nicely.

Jay R. Halfon is a practicing attorney and public policy strategist. He represents many leading foundations and advocacy groups and has been doing so for over 30 years. He is admitted to practice law in New York, Pennsylvania, New Hampshire and the District of Columbia. He has a broad range of experience influencing public policy in Congress and state legislatures. He has played a key role with the international climate change movement 350.org and serves on its board of directors as Treasurer. He is a key strategist on the campaign that to date has successfully stopped hydrofracking in New York State. He is director and general counsel of Sustainable Markets Foundation, an organization that hosts many programs focused on energy and environmental policy. He serves as a Trustee of the Park Foundation, which has over \$300 million in assets and is committed to mission related investing.

Brad Harrison is a Mission Related Investment (MRI) Analyst at Threshold Group. Brad is a member of the Investment Management & Research (IMR) Team conducting manager due diligence of mission related investments spanning a broad range of asset classes. As part of Threshold Group's commitment to serving client's growing interest in impact investing, Brad supports those seeking to further align personal values and/or organizational mission with their investment

strategy. Through locally and globally focused impact investment research, he builds upon Threshold Group's goals-based investment approach to identify, analyze, and support a broad and deep MRI platform and also contributes to the reporting of social impact relative to financial return. Prior to Threshold Group, Harrison worked at Ecotrust/Ecotrust Forest Management, a regionally focused forest investment management firm, analyzing payments for ecosystem service markets as part of an impact investment fund strategy. He also worked at Green Building Services, a leading sustainable development consulting firm, advising on corporate social responsibility, sustainable operations, and energy efficiency upgrades in commercial buildings. Brad Harrison earned a bachelor's degree in Applied Economics and Management from Cornell University and a master's degree in Environmental Management from Yale University.

Kristin Hull is President of the Nia Fund. She began her career as a bilingual educator and later co-founded the North Oakland Community Charter School. Interested in innovative solutions for social change, Ms. Hull entered the field of impact investing while serving as a board member for various nonprofit organizations, including the Mosaic Project and the Nicholson Foundation. After the sale of her family's business, she helped align 100% of the family foundation assets to mission-related investments and then started the Nia Community Fund concentrating on conscious investing in Oakland, CA.

Jon Jensen is on the Board of Directors of Confluence Philanthropy and Executive Director of the Park Foundation. Park Foundation is based in Ithaca, New York, and awards over \$18 million annually via national and regional programs in higher education, media, environment, and animal welfare as well as local programs in sustainability, human services, arts and culture, and communications. Jon's previous positions include Executive Director of the Wildlife Preservation Trust International, Senior Program Associate of the Pew Charitable Trusts, Associate Director of the Pew Conservation Scholars Program, and Senior Program officer for Environment at the George Gund Foundation. His career in philanthropy includes over 30 years of grantmaking experience and founding trustee roles in the Environmental Grantmakers Association, Funders Network for Smart Growth and Livable Communities, and Confluence Philanthropy. He has served as chair of the board for each of these organizations. He has also served on the boards of American Rivers, Institute for Conservation Leadership, Wildlife Preservation Trust International, and Yellowstone Ecosystem Studies in addition to numerous advisory councils. Jon's academic background includes a BS in Psychobiology from Albright College and an MS in Animal Behavior from Bucknell University where he researched, respectively, social behavior in bats and visual communication in primates. Jon has spoken at local, regional, and national conferences on subjects such as endangered species conservation, nonprofit management, green buildings, smart growth, watershed conservation, philanthropy, nonprofit leadership, journalism, higher education, and mission related investing.

Jules Kortenhorst is the Chief Executive Officer of Rocky Mountain Institute. He is a recognized leader on global energy issues and climate change. His background spans business, government, entrepreneurial, and nonprofit leadership. Since 1982, Rocky Mountain Institute has advanced market-based solutions that transform global energy use to create a clean, prosperous and secure future. An independent, nonprofit think-and-do tank, RMI engages with businesses, communities and institutions to accelerate and scale replicable solutions that drive the cost-effective shift from fossil fuels to efficiency and renewables.



Dana Lanza launched Confluence Philanthropy in August of 2009 as a special project of Rockefeller Philanthropy Advisors, after serving as the executive director of the Environmental Grantmakers Association (EGA). While at EGA, Dana provided networking services to over 250 grantmaking organizations from across North America and Europe in 15 strategic funding areas. As director, Dana worked with the Rockefeller Family Fund, and led a then 20-year old EGA into an independent 501c3 organization. From 2009-2011 Dana served as the Program Director and Board Advisor at The Swift Foundation while launching Confluence. Swift makes grants internationally in its environment, indigenous and rural communities, and climate change initiatives. Swift is also deeply committed to mission-related investing. Prior to Philanthropy, Dana founded Literacy for Environmental Justice (LEJ), which brought free urban environmental education projects to more than 10,000 public school students, while employing hundreds of at risk youth as community advocates. She acted as a lead organizer in the closure of San Francisco's infamous Hunters Point Power Plant; envisioning and raising funds to supplant it with the region's first off-the-grid educational Eco Center. The Eco Center won the EPA's National Environmental Justice Award after its grand opening in 2010. She has been a fellow at the Donnell Meadows Leaders Fellowship Program in Systems Theory and the California Women's Foundation Policy Institute. In 2005, she contributed to the Sierra Club Books anthology titled *Ecological Literacy: Educating Our Children for a Sustainable World*. Upon college graduation, she went to live within a Samburu (Maasai) community, with whom she has continued to build formative relationships over the past 24 years. More briefly she lived and worked among the Cheyenne River Lakota in South Dakota, and Kosovan Roma refugees in Europe. A nomad in spirit, Dana sometimes lives in Oakland, California and at other times in Brooklyn, NY.

Andy Lower founded ADAP Capital LLC in September 2013. ADAP (A Different Approach to Poverty) focuses on finding effective ways to use market-based solutions to eradicate extreme poverty with dignity for all stakeholders. Prior to founding ADAP, he worked as Executive Director of The Eleos Foundation and CEO of Eleos Investment Management LLC. He led the transformation of Eleos from traditional grantmaking foundation to emerging pioneer in the impact investing sector: re-allocating 100% of their assets to generate financial returns while having a social impact; catalyzing millions of dollars to invest through Eleos' special-purpose vehicles; and nurturing a growing community of co-investors to invest in social entrepreneurs focused on eradicating extreme poverty. Previously, he served as North American Advisory Manager for the international philanthropic advisory firm Geneva Global Inc, providing social investment advice to the firm's key US and Middle East-based clients, and spearheaded a number of multi-million dollar international partnership initiatives. He has traveled extensively, working with national partners throughout Africa, Asia, and Latin America, and spent time working with entrepreneurs in a variety of different sectors in the developing world throughout his career.

Josh Mailman founded Social Venture Network with Wayne Silby of Calvert Group in 1987. He also started the Threshold Foundation (1981) and Business for Social Responsibility (1992). Today, he continues to be an active investor in the most game-changing for-profit and nonprofit enterprises he can find. He believes we must assist in advancing a re-imagining of how finance can advance positive societal change. Mr. Mailman's nonprofit board activities currently include Echoing Green, the New Africa Center at 110th Street, Mailman School of Public Health at Columbia University, the Fund for Global Human Rights, and the Calvert

Foundation. He is a founding trustee of the Sigrid Rausing Trust U.K., and initiator of Synergos Middle East Social Innovators Program. He is also Managing Director of Serious Change L.P., a \$70M sole L.P. impact investment vehicle started in 2006. Josh and Serious Change L.P. are currently founding or key investors in the following SVN member companies: Indigenous Designs, Alter Eco, Lotus Foods, Emerge Financial, Social Imprints, Ice Stone, S.P.U.D., Red Rabbit, Equilibrium Capital, RSF Mezzanine Fund, Icon Wheelchair, Everyone Counts, Attentive.ly, Giving Assistant, Napo Pharmaceutical, and, most recently, Ecological Solutions (Anselm Doering) and Sustain (Jeff Hollender).

Dr. Beverlee J. McClure is the president and CEO of the New Mexico Association of Commerce and Industry (ACI). ACI serves as the statewide chamber of commerce and serves as a policy think tank for business-related issues. In this capacity, Dr. McClure is working to facilitate positive change in the laws and regulations that govern business and industry. Dr. McClure also serves as the director for the New Mexico Center for Economic Gardening. The Center works to help second-stage growth companies realize their full potential by generating increased revenue and expanding employment. Previously, Dr. McClure served as New Mexico's first cabinet secretary of higher education, a position she held for almost two years. Dr. McClure came to New Mexico as the president of Clovis Community College. She holds a Master of Business Administration from the University of Arkansas, Fayetteville and a Doctorate in Educational Administration from the University of Texas, Austin. Dr. McClure is the Chair of the board for YES! Housing and the Treasurer of the New Mexico Community Capital Board. She serves on the New Mexico Early Childhood Development Partnership Advisory Council, the Rio Grande Water Fund Advisory Board, and the Unidos Council. She is a member of the New Mexico Women's Forum and the New Mexico Amigos.

Craig Metrick is a Principal and US Head of Responsible Investment for Mercer. Craig consults to public, private, and foundation clients on implementing responsible investment principles and mandates within defined benefit and defined contribution structures. Previously, Craig was Director of the Corporate Benchmarking Service (CBS) at the Investor Responsibility Research Center, Inc. (IRRC). In August of 2005, IRRC was acquired by Institutional Shareholder Services (ISS). Craig continued his role at ISS and took on additional responsibilities with regards to operations and integration until coming to Mercer in March 2006. Craig has more than 10 years experience working in the responsible investment industry and on issues of sustainability and corporate responsibility. In 2011, Craig was elected to the Board of the US SIF, the US Forum for Sustainable and Responsible Investment. Craig also serves on the US Advisory Committee of the FTSE4Good Indices. Craig graduated with a degree incorporating international relations, economics, and environmental science from Bradley University and has a Master's degree in environmental science and public policy from George Mason University.

Abhilash Mudaliar serves as Research Manager at Global Impact Investing Network. He is responsible for shaping and implementing the GIIN's research strategy and program. Abhilash joins the GIIN with over seven years experience in social enterprise, impact investing, and international development research, including time as a microfinance consultant at Unitus, an impact investor at Elevar Equity, and a social entrepreneur within Hippocampus Group. Abhilash also worked on a large-scale randomized controlled study of education policy experiments in southern India. He began his career as a management consultant at Bain & Company. Abhilash has earned Honors degrees in Arts and Commerce (specializing

in Political Science and Finance, respectively) from the University of Melbourne and, more recently, an MPA/ID from the Harvard Kennedy School, where he received the Raymond Vernon Award.

Cynthia Muller leads the Arabella Advisors impact investing practice. She helps individual and institutional clients understand the field of impact investing, develop strategies, and structure investments to accomplish their social and environmental goals. Cynthia's work at Arabella also includes deal sourcing and structuring investments in health, education, microfinance, housing, and green technology. She has also co-lead evaluations of several impact investing portfolios of some of the largest foundation makers in the country. Her extensive background in social enterprise and mission investing includes connecting public policy, programs, and capital for emerging social innovations to increase economic opportunities for underserved and marginalized communities. Prior to joining Arabella Advisors, Cynthia led strategic initiatives in mission-driven organizations focused on issues including community health, affordable housing, and economic development. As Director of Strategic Investments at NCB Capital Impact, a national community development financial institution, she managed over \$80 million in grants and impact investments. She has also served on the board of the CIRI Foundation and volunteered for the Alaska Marketplace. Cynthia holds a BA in Psychology from Stanford University and an MBA from the University of Washington.

Rebecca Nelson believes aquaponic food production (raising fish and plants in a recirculating, soilless system) can help provide better nutrition and achieve food security around the world. Aquaponics is used to sustainably raise fresh fish and vegetables for a family, to feed a village or to generate profit in a commercial farming venture. As the co-founder of Nelson and Pade, Inc., the global leader in aquaponic systems and training, an author and an Adjunct Instructor at the University of Wisconsin-Stevens Point, Rebecca, along with her partner, John Pade, has spent her career developing the aquaponics industry and refining aquaponic systems, technology and methods. Rebecca spends her days assisting individuals, missions, schools and businesses in getting started and being successful in aquaponics. Rebecca has taught aquaponics to individuals from over 50 countries and she is also a featured authority on local and national radio, TV, magazine and newspaper coverage of aquaponics.

Paul Parker has been working with Cape Cod fishermen since 1997 to restore depleted fish populations while bringing back profitable fishing businesses and communities. Through the Cape Cod Fisheries Trust, Paul is raising funds and buying cod, scallop, and lobster permits in order to make sure there is a next generation of fishermen on the Cape. Paul's work with local fishermen has forged a new and promising model for other fishing communities that are working to save their fish and their fleets and preserve a way of life. Paul has held numerous jobs on Cape Cod commercial fishing vessels including lobster, steamer clams, cod, haddock, dogfish, bluefin tuna, and striped bass using all manner of fishing gear from handlines to gillnets, rod & reel, and longlines. Paul earned a BA in Biology from Cornell University and a Masters of Environmental Management from Duke University. In 2006, Paul was honored with the Duke Nicholas School Rising Star Award.

Jenny Parks is the President and CEO of The New Mexico Community Foundation (NMF). Previously, Ms. Parks served as the New Mexico State Director for The Trust for Public Land in 1981, (TPL). Ms. Parks joined TPL in 1999, serving as Project Manager in five southwestern states where she helped conserve more than 22,000 acres at a value of more than \$52 million. While at TPL, she oversaw

all aspects of the work of TPL in New Mexico, including the conservation of treasured landscapes throughout the state. She also led a large community-wide effort to design and construct the new 12.5 acre Railyard Park and Plaza in Santa Fe's historic center, raising more than \$13.5 million for the project, which was recently featured in the New York Times. Most recently, working with a dedicated group of volunteers, she led the effort to conserve the 23 acre Sun Mountain property. In addition, she worked with conservation groups and Governor Bill Richardson's office to pass the Natural Heritage Conservation Act, which will provide state funding for conservation projects. Throughout her career, she has worked closely with communities throughout New Mexico to help them articulate and realize their conservation goals. Before joining TPL, Ms. Parks worked as a real estate attorney and a commercial real estate developer in Austin, Texas. She is a graduate of Santa Fe High School and earned her undergraduate and law degrees at the University of Texas-Austin, and lives in Santa Fe with her husband and son.

Matthew W. Patsky is a managing partner and CEO at Trillium Asset Management, the oldest investment advisor exclusively focused on sustainable and responsible investing. He has over 25 years of experience in investment research and investment management. Matt began his career at Lehman Brothers in 1984 as a technology analyst. In 1989, while covering emerging growth companies for Lehman, he began to incorporate environmental, social and governance factors into his research, becoming the first sell side analyst in the United States to publish on the topic of socially responsible investing in 1994. As Director of Equity Research for Adams, Harkness & Hill, he built that firm's powerful research capabilities in socially and environmentally responsible areas such as renewable energy, resource optimization, and organic and natural products. Matt was most recently at Winslow Management Company in Boston, where he served as director of research, chairman of the investment committee and portfolio manager for the Green Solutions Strategy and the Winslow Green Solutions Fund. Matt is currently on the Boards of Environmental League of Massachusetts, Shared Interest, and Pro Mujer. He recently served on the Boards of US SIF and Root Capital. Matt is a member of the Social Venture Network (SVN). Matt is a member of the Boston Security Analysts Society and is a Chartered Financial Analyst charterholder. He holds a Bachelor of Science in Economics from Rensselaer Polytechnic Institute.

Mark Peters works with Federal Street's high net worth individual clients, as well as the investment committees of its nonprofit and charitable foundation clients. Mark left a larger investment firm to join Federal Street more than ten years ago in order to work directly with clients and deliver the best advice within an environment unconstrained by conflicted compensation schemes or limited platform choices. Mark is one of Federal Street's Shareholders, and is a voting member of the Investment Committee. Prior to joining Federal Street, Mark was Vice President and Director of Product Analysis at Scudder Investments/Deutsche Bank. Previously, he served as Vice President and Research Director at LPL Financial Services, and as Director of Marketing for Travelers Investment Management Company. Mark obtained his undergraduate degree from Colorado College, received his MBA from the University of Connecticut, and was conferred the designation of Chartered Financial Analyst. He is a member of the Boston Security Analysts Society.

Susan Phinney Silver is the Program Manager for Program-Related Investments (PRIs) at the David and Lucile Packard Foundation. She oversees the Foundation's \$180 million PRI and mission investing program, which targets a range of loan and equity investments in the fields of environmental conservation,



climate change, oceans/fisheries, US health care access, international reproductive health and rights, children's education and issues in the US, and local Bay Area initiatives. Before joining Packard, Susan consulted with the MacArthur Foundation and California Community Foundation on their PRI programs, as well as consulting with a number of other social investment and nonprofit clients. For 13 years previous to that, at The Development Fund in San Francisco, she led the creation of innovative financing programs that generated over \$600 million in new private investment nationally from banks, insurance companies, and other corporations for funds targeted to affordable housing, community economic development, and environmental cleanup. Earlier in her career, Susan was an overseas auditor for Catholic Relief Services in Africa, and a consultant with McKinsey & Company in New York. She has degrees from Princeton (undergraduate) and Yale School of Management (MBA). She enjoys exploring nature with her family, including hiking, camping, and kayaking.

Raúl Pomares is a Senior Managing Director of Sonen Capital LLC, where he leads the firm's overall investment and business activities, and maintains portfolio management responsibilities for select strategies. Prior to co-founding Sonen, Raúl served as a Managing Director at Springcreek Advisors, where he headed the firm's portfolio strategy. Previously, Raúl was a Portfolio Manager at Guggenheim Wealth Management, where he developed integrated multi-manager portfolios for institutional and high net worth clients. In particular, Raúl applied his expertise across a broad range of impact investment themes to create an integrated manager research and portfolio construction methodology for investors. Earlier in his career, Raúl co-founded a boutique wealth management firm where he directed client services and portfolio management. He has also served as an investment advisor, international private banker and consultant on behalf of global financial institutions and private investors. Raúl is an Investment Advisor Representative and has passed the Series 65. He received his B.S. in International Business Management from the University of San Francisco. He is fluent in Spanish.

Monica Pressley has been the Foundation's chief financial officer for over ten years, managing the Foundation's assets, now totaling \$1.2 billion. Monica brings to her senior management role a wealth of expertise in nonprofit community service, asset management, and strategic planning. Previously, she was a chief administrative officer for Barclays Global Investors, director of finance for Dividend Development Corporation, and a consultant for Charles Schwab & Co. She served with Harvard Community Partners to support Bay Area nonprofits, and received the Jim Stocker Award for Volunteer Excellence. She earned her Master of Business Administration from Harvard Business School and graduated summa cum laude with a bachelor's in Economics from UCLA.

Joohee Rand Joohee Rand currently works as the Director of Strategic Initiatives at the Santa Fe Community Foundation focused on community leadership. Her current portfolio of initiatives includes Impact Investment, "Birth to Career" strategy, LEAD (Law Enforcement Assisted Diversion program designed to break the cycle of drug addiction and incarceration), and MoGro (a Mobile Grocery social enterprise serving food deserts). In her previous career, Joohee was a management consultant at McKinsey advising global corporations, foundations, and international organizations on strategy, organizational restructuring, and performance management. Joohee was part of the Corporate Finance Practice and the Nonprofit Practice while at McKinsey. Joohee also worked as an independent consultant for philanthropic and educational organizations in Santa Fe and Albuquerque, was the Executive Director of the Glow

Foundation (San Francisco) and worked at Echoing Green Foundation (a New York-based investor in social entrepreneurs) during her business school years. Joohee holds an MBA from Harvard Business School, a MPP from KDI School of Public Policy and Management (Korea), and BBA from Assumption University (Thailand).

Christine Reeves is the senior field associate at the National Committee for Responsive Philanthropy. Christine dedicates her specialist and generalist skill sets to socially just philanthropy that addresses root causes of problems, serves and empowers marginalized communities, and creates a laboratory for innovative solutions. She often collaborates with philanthropic leaders who have joined NCRP's Philanthropy's Promise initiative. Beyond NCRP, she served as a grantmaker for the Funding Exchange Network (FEX). Currently, she serves on the national board of Emerging Practitioners in Philanthropy (EPIP) and is the vice chair of the Washington, DC EPIP Chapter. Christine also serves on Independent Sector's NGen Advisory Committee and on the Foundation Investment/Divestment Challenge's Leadership Committee. She co-authored and has given multiple presentations on her articles published in Responsive Philanthropy: "Specialist or Generalist: A False Dichotomy" and "Democratic Philanthropy: A New Perspective on Funding." She also contributes to philanthropy blogs, including: Keeping a Close Eye, EPIPhanies, and LearnPhilanthropy. While earning her master's degree from Duke University's Terry Sanford School of Public Policy, she wrote her master's thesis: "Major Trends of International Grantmaking Foundations: A Project for the Robert Wood Johnson Foundation." Previously, Christine graduated Phi Beta Kappa from Emory University,

Christy Oliver Reeves has been a passionate advocate for philanthropy and community betterment for more than two decades--and that passion has burned ever brighter over the past six years, while serving in her dual roles of Director of Community Relations at Blue Cross and Blue Shield Louisiana and Executive Director of the Blue Cross and Blue Shield of Louisiana Foundation, the company's charitable subsidiary. Since helming the company's community outreach programs, Reeves has increased company assets from \$1 million to \$40 million and grown charitable investments from the \$10,000 range to \$1 million. Further, she's received critical praise for redefining her company's role and reputation in the state. Reeves leads the Foundation's strategic grantmaking and its two signature programs: the Angel Award, recognizing those who positively impact the lives of children; and Smart Bodies, a nutrition education program targeting an estimated 50,000 children throughout the state. Using her visionary approach toward impact investing and mobilizing local resources, Reeves spearheaded the Foundation's Challenge for a Healthier Louisiana. This almost \$30 million project takes a multi-pronged approach toward tackling childhood obesity, nutrition education, healthy food access, and neighborhood fitness in Louisiana. Reeves holds master's degrees in both business administration and library and information science, and, she is the recipient of numerous community honors, including The Baton Rouge Business Report's Forty Under 40 in 2006 and Influential Women in Business in 2012. Her considerable involvement in community and professional organizations includes: Capital Area United Way (Chairwoman of the Board), Family Service of Greater Baton Rouge, Manship Theatre, Louisiana Senior Olympic Games, Playmakers of Baton Rouge, Baton Rouge Children's Coalition, LSU School of Human Ecology, and the Capital Area YMCA. Reeves has embraced a true "more than philanthropy" approach, implementing a combination of financial support, community engagement, and critical efficacy analysis of on-going programs, with the ultimate goal of helping make the world around her a happier, healthier place.

Steven Robinson is an architect and planning consultant with a wide range of experience working with private clients, public agencies, and academic institutions. His award-winning architectural designs embody and advance the aesthetics of regional architecture and community planning. As a community leader, Mr. Robinson is the president of the Santa Fe Railyard Community Corporation, the nonprofit corporation developing the city-owned 50 acre downtown property. He was founding member of the Santa Fe Land Use Resource Center and the Neighborhood Coalition, and has served on the Board of Directors Advisory Council of 1000 Friends of New Mexico and the National Board of Advisors of Cornerstones Community Partnerships. He initiated, organized, and conducted the "Forum on Growth Management" sponsored by the Thaw Charitable Trust in 1995. Mr. Robinson has been the featured public speaker at the National Preservation Conference of the National Trust Historic Preservation, the Forum on Ancient Futures for New Communities, Sustainable Communities of New Mexico, and the Free Forum of Santa Fe. Mr. Robinson is a Registered Architect, certified through the National Council of Architectural Registration Boards. He is a graduate of the University of Pennsylvania in Liberal Arts and received a Master of Architecture from Yale University.

Marquita Russel serves as the Chief of Programs for the New Mexico Finance Authority, a public finance agency that funds infrastructure and economic development projects throughout New Mexico. In her role as Chief of Programs, Ms. Russel oversees all aspects of program development, including establishing all rules and policies, devising application procedures, and setting credit criteria. In her 13 years at the New Mexico Finance Authority, Ms. Russel has successfully launched 10 of the Finance Authority's 16 programs, two of which fund only water projects. Prior to joining NMFA, Ms. Russel spent a decade at the Illinois Development Finance Authority, during which time she closed more than \$1 billion of transactions. Ms. Russel holds a BS degree from Marquette University.

David Sand is Chief Investment Strategist at Community Capital Management primarily responsible for business development and creating new products to meet the needs of existing and future clients. David has more than 30 years of investment management experience and is a trailblazer in the socially responsible/impact investing arena. In 1994, he co-founded Access Capital Strategies and served as its president and chief investment officer from 1994 to 2010. In this capacity, he pioneered the development of market-rate, fixed income impact investments for institutional investors. Most recently, he worked as a consultant to Community Development Financial Institutions (CDFI's), nonprofits and social enterprises. He received his bachelor's degree from Princeton University and a master's degree in public administration from Harvard University's John F. Kennedy School of Government. David serves on the New York Advisory Board for Enterprise Community Partners and is a former board member of US SIF: The Forum for Sustainable Investment.

Diane Schmidt joined the Blue Moon Fund as Chief Financial Officer in January 2003 after 15 years working for a local public accounting firm. She received her BS in Business Administration from Mary Washington College (now the University of Mary Washington) and her MBA from James Madison University. Diane is currently licensed in the Commonwealth of Virginia as a Certified Public Accountant and holds memberships in the Virginia Society of Certified Public Accountants and the American Institute of Certified Public Accountants. Born and raised in Central Virginia, she enjoys spending time with her family, gardening, and knitting.

Dr. Astrid Scholz, President of Ecotrust, is an ecological economist by training, and received her Ph.D. in Energy and Resources from the University of California at Berkeley. Astrid has worked with Ecotrust for 11 years, serving as Executive Vice President in 2012. She previously served as Vice President for the organization's Knowledge Systems program, managing a variety of initiatives involving Ecotrust's analytical capacities, including pioneering work in developing innovative, collaborative, award-winning tools and approaches to improve environmental and economic decision-making. Astrid is an affiliate faculty member of Oregon State University, and is the co-editor of a book on integrated geographic information systems, Place Matters. She serves on the boards of Habitat Media, Comunidad Y Biodiversidad (Mexico), and the Living Oceans Society (Canada). Scholz holds an M.A. in Economics and Philosophy from the University of St. Andrews and an M.Sc. in Economics from the University of Bristol.

Debra D. Schwartz is Director of Program-related Investments for the John D. and Catherine T. MacArthur Foundation. She oversees a \$300-million portfolio of impact investments designed to advance the Foundation's philanthropic work in the US and abroad. Before joining MacArthur in 1995, she was chief financial officer for a Chicago-based child welfare agency and an investment banker at John Nuveen & Co. specializing in municipal and health care finance. An expert on financing and business innovation in affordable housing, community and economic development, social enterprise and philanthropy, Debra originated and taught a University of Chicago undergraduate course, "The Business of Nonprofits and the Evolving Social Sector," from 2009-2012. She also has guest taught classes at graduate schools of business, law, and policy at Yale, Harvard, Stanford, Northwestern, University of Chicago, and Oxford. Debra is a past presidential appointee to the United States Treasury Department Community Development Advisory Board. She has a Master's from the Kellogg School of Management at Northwestern University and a Bachelor's, summa cum laude, from Yale.

Jason Scott is a co-founder and Managing Partner of EKO Asset Management Partners. Jason has more than a decade of experience developing and managing firms seeking to sustainably manage and invest capital. He was most recently a founding Director and investment analyst at Generation Investment Management, co-founded by David Blood and former Vice President AI Gore, Jr. As its first employee, Jason helped build the firm and its first and second products. Generation's global equity and climate solutions funds seek to maximize financial returns by integrating sustainability analysis into traditional investment management processes. From 1999 to 2004, Jason worked as an executive and advisor with Acumen Fund, the Blue Ridge Foundation, and the Flatiron Future Fund/Foundation in New York City. From 1996-1999, Jason was the President/COO of a NYC-based software company. From 1991-1996, Jason helped found and build Public Allies, a community leadership program for young adults. In 1998, the Rockefeller Foundation selected Jason as a fellow in its Next Generation Leadership Program. Jason serves on the boards of the Clean Economy Network, the Forest Philanthropy Action Network, and youth development programs Public Allies and Groundwork. Jason received an M.B.A. with distinction from a joint program of Columbia and London Business Schools and graduated cum laude from Duke University. He served on the transition advisory boards for the Obama Administration for Technology and Innovation and Energy and the Environment.

Jon Scott manages corporate partnerships, media relations, publications, and legacy gifts programs for Clean Water Action & Clean Water Fund. He also serves on the national Board and Executive Committee of Earth Share. His professional



background, following an undergraduate degree in geology and environmental studies from Williams College (1982) and brief stints as a Studio Art Assistant at Williams and as a volunteer with Hunter and Amory Lovins around the Rocky Mt. Institute's founding (in Old Snowmass, Colorado), is in grassroots organizing and campaigning. The Singing Field Foundation is a small family foundation established by a family member's bequest. The foundation received the bulk of its assets in 2007. The foundation is staffed on a volunteer basis by family members. Most grants are general support in the areas of environment, health, or animal welfare, with some additional smaller discretionary grants made in support of other family interests. The foundation uses a mission-related investing strategy to extend its impact beyond its direct grantmaking. This involves "active ownership," including proxy voting, participation in shareholder initiatives, and investments screened to align with the foundation's mission and family members' values. Jon is based in Plainfield, New Hampshire, near the Connecticut River which forms the border with Vermont. Personal interests include music (playing and writing for guitar & bass), motorcycles, scuba diving and other water sports, and family. He is one of only a handful of EGA members to have climbed Denali (North America's highest peak) and is also one of the only people in the world to have tossed—and retrieved—a Frisbee from its summit.

Debra Shapira is Senior Manager of Institutional Relations for Root Capital at their headquarters in Cambridge, Massachusetts. Debra supports Root Capital's vision to grow rural prosperity in poor, environmentally vulnerable communities in Africa and Latin America by overseeing development and implementation of donor engagement and stewardship strategies. She collaborates with directors of the organization's Lending, Financial Advisory Services, and Strategy & Innovation teams to identify compelling and impactful ways to involve foundations, corporations, and individuals in Root Capital's initiatives with agricultural businesses and small-scale farmers. Prior to joining Root Capital, Debra worked with Sustainable Harvest, Inc., a vertically integrated coffee importer with a focus on shared value in the supply chain. As Director of Development and Global Programs, Debra led coordination of farmer training programs and supply chain traceability initiatives. Debra holds a B.A. in sociology and journalism from Brandeis University, and has studied Spanish, Portuguese, and Hebrew.

Al Silva became the General Manager and Chief Operating Officer to Labatt Food Service in 1981. A graduate of Texas Lutheran College in Secondary Education in 1978, he was all-conference in basketball in the Big State Conference, and did graduate work at the University of the Americas in Cholula, Puebla, Mexico. He was named Outstanding Young Graduate of Texas Lutheran in 1991. He is a member of the Texas Cavaliers, and has been Chairman of the Good Samaritan Center twice, from 1999-2002 and from 2005-2007. An elected trustee of the Alamo Heights Independent School District, he was President of the board in 2005. He has served on the Board of the National Conference for Community and Justice (NCCJ) and Junior Achievement. He is Chair Elect of the Youth Orchestras of San Antonio (YOSA). In 2006 he was appointed by Governor Perry as a Regent of the North Texas University system (UNT). He received the FBI Director's Community Leadership Award in 2003.

Tim Smith serves as Senior Vice President, Director of ESG Shareowner Engagement at Walden Asset Management, a division of Boston Trust & Investment Management Company. Walden has been a leader in sustainable and responsible investing (SRI) since 1975. As of June 30, 2012, Walden managed \$2.3 billion in assets for individual and institutional clients. Mr. Smith joined Walden in October

2000 to lead Walden's ongoing shareholder engagement program to promote greater corporate leadership on ESG issues. This includes company dialogues, shareholder proposals, proxy voting, and public policy advocacy. Among the areas Walden focuses on are sustainability reporting, political spending and lobbying, board diversity, executive compensation and governance, supply chain standards, water use, and human rights. Previously, Mr. Smith served as executive director of the Interfaith Center on Corporate Responsibility (ICCR) for 24 years.

Bill Sommers was born and raised in the Midwest. He worked in banking and finance in Chicago and after joining a company in the Washington, DC area traveled the country introducing banks and credit unions to a variety of innovative financing and operational products. After moving to New Mexico, he entered the non-profit world and worked delivering financial products and services in underserved areas as well as promoting personal asset-building programs to low-income individuals. Through his work at the Permaculture Credit Union he wants to serve the credit union membership and the larger permaculture/sustainable community while developing a financial services model that enables a mission-driven organization to fully leverage the capacity and capabilities of a mainstream financial institution.

Laurie J. Spengler is President & CEO of Enclude (formerly ShoreBank International Ltd. and Triodos Facet), a specialist global advisory firm dedicated to building an inclusive global financial system. The firm delivers integrated capacity and capital solutions to financial institutions, operating enterprises, funders and investors focused on meeting the needs of real economy contributors - entrepreneurs, small & growing enterprises, underserved households and more. Ms. Spengler has 20+ years' experience in strategy and transaction services, especially capital raising, M&A, and private equity with a particular focus on access to appropriately aligned capital for double and triple-bottom line organizations.

Kate Starr is the Vice President, Capital Deployment, at the F.B. Heron Foundation. She leads the management of \$270 million in grants and investments. Kate is currently a member of the Foundation Financial Officers Group, the CFA Institute, the New York Society of Security Analysts, and the Mission Investors Exchange. Previous roles at the Foundation include Investment Officer and Senior Program Officer. Prior to joining Heron in 2001, Kate worked as a consultant at A.T. Kearney's Global Business Policy Council, a research associate at microfinance institution PRIDE Tanzania, and an economics and equity analyst at First Asset Management. Kate earned a BA in English and Italian from Indiana University, an MA in International Relations from Johns Hopkins' School for Advanced International Studies, and is a Chartered Financial Analyst.

Rob Steiner is a Director of Special Projects and also heads up the Business Development activities at Summit Global, a San Diego-based investment management firm with an unrivaled, 30-year specialization in global hydrocommerce and other industries directly impacted by water. Rob is specifically focused on linking Summit's specific water strategies to the wider universe of the global water markets, specifically focused on water-energy nexus project development, finance and acquisition opportunities. Rob is currently leading Summit's project sourcing and management efforts in decentralized water and wastewater treatment and reuse, wastewater-to-energy and produced water treatment and disposal. Prior to his work in the private sector, Rob was an officer in the US Public Health Service and served within the Department of Health

and Human Services, specifically as a Special Assistant to Secretary Tommy G. Thompson, a Special Assistant and Advisor to Surgeon General Richard H. Carmona and as an International Health Officer within the Office of Global Health Affairs. Rob holds a Masters degree in Public Health from George Washington University with a concentration in Global Health Policy and a BS from the School of Natural Resources and Environment at the University of Michigan.

Anne Stetson is a Trustee of The John Merck Fund and The Lookout Foundation, she has served as the Managing Director and General Counsel of Sonen Capital LLC, where she was a senior member of the investment team and oversees legal matters for the firm. She drives the firm's research activities in health, microfinance and community investing with particular emphasis on Africa and Latin America. Additionally, Anne is a Fellow and Visiting Scientist at the FXB Center for Health and Human Rights at the Harvard School of Public Health, where she teaches social entrepreneurship in global health. Prior to Sonen, Anne served as a Senior Advisor to Springcreek Advisors, and headed Lighthouse Consulting, her advisory firm to foundations and non-profits working globally in the fields of economic development, global health, impact investing and human rights. For the first decade of her career, Anne practiced international corporate and emerging markets investment law on Wall Street, and in Boston with Fidelity Investments and Grantham, Mayo, van Otterloo. Anne serves as a director of ACCION International, a trustee of the John Merck Fund for which she also chairs the investment committee, and as a director of the Lookout Foundation. She is a member of the Council on Foreign Relations. She is the co-author of Risk, Return, and Social Impact: Demystifying the Law of Mission Investing by U.S. Foundations published by FSG-Social Impact Advisors in 2008, speaks nationally on issues related to mission investing, and has published articles and books in the areas of emerging markets investing and human rights. Anne is a Basic Member in her capacity as a Trustee of The John Merck Fund. She has served as a speaker at the past two Practitioners Meetings.

Drew Tulchin is Managing Partner of Social Enterprise Associates, www.socialenterprise.net, advancing the Quadruple Bottom Line—financial, social, environmental, and cultural value creation and returns. The firm, a registered 'B Corporation', offers consulting to measure impact, open new markets, develop business plans, and raise impact capital. It was a 2012 Sustainable Business of the Year Honoree. Engagements for NGOs, foundations, government, and business have raised more than \$100 million. Tulchin enables leaders, especially social entrepreneurs, and their organizations to access capital and achieve their goals. He has written over 100 business/strategic plans. Tulchin presents widely on topics of nonprofit earned income strategies, alternative sources of capital, program-related investments, and investing for impact. His MBA is from University of Washington and his BA, cum laude, is from Washington University. He serves as Treasurer of the Native CDFI Cha Piyah, Inc. and on the Supervisory Committee for Permaculture Credit Union.

Dr. Ariane van Buren led the field of shareowner engagement in environmental sustainability from its inception in the US in 1990. As Senior Advisor to the UN Principles for Responsible Investment, she has consulted with institutional investors internationally. She was Director of Investor Engagement at Ceres, where she worked to build the Investor Network on Climate Risk by recruiting trustees of the largest US public and labor pension funds, meeting at UN Summits representing assets over \$22 trillion. She has held positions as Director of Energy and Environment at the Interfaith Center on Corporate Responsibility,

UN Centre on Transnational Corporations, World Bank, International Institute of Environment and Development in the UK, and directed the Transnational Institute in the Netherlands. Her doctorate is in development economics and political economy applied to national energy planning.

Thomas Van Dyck has been a leader in the field of socially responsible investing since starting at Dean Witter in 1983. In 1987, he founded a firm dedicated to socially responsible investing where he created a shareholder advocacy program. In 1997, he joined Piper Jaffray and developed an investment management consulting team, now called the SRI Wealth Management Group. SRI Wealth Management moved to RBC Wealth Management in 2006. Van Dyck has authored numerous articles and studies on the economics of socially responsible investing. He has been actively involved in cleantech investing and was instrumental in developing Piper Jaffray's Cleantech Ventures private equity fund of funds strategy. Throughout his career, he has worked to encourage major pension funds like CalPERS and CalSTRS to consider issues such as investment from South Africa and environmental investment strategies. In April 2013, Thomas was named one of the Financial Times, Top 400 Financial Advisors. He was also a featured speaker during the TedX WallStreet* program in 2012. Thomas is the founder of As You Sow**, a shareholder advocacy foundation, and is active with a number of environmental groups. He is most proud, however, of his role of active parent to his two children. Van Dyck graduated from Duke University in 1980 with a B.A. in Political Science. He is a Certified Investment Management Analyst (CIMA®) and is Series 7 and Series 66 licensed.

Mariela M. Vargova, Ph.D., is a Senior Sustainability Analyst for Socially Responsive Investments and is responsible for the Environmental, Social and Governance (ESG) screening and research of stocks for Rockefeller & Co. She is a member of the Sustainable Investment Research Analyst Network (SIRAN) and of the New York Society of Security Analysts (NYSSA). Prior to joining Rockefeller & Co., Mariela had an extensive academic experience. During her graduate studies at the New School for Social Research, she worked on various research projects in the social sciences, human rights and social justice, constitutional law and politics. She has published scholarly articles in political theory and constitutionalism. Before that, Mariela worked in Bulgaria on a project for the Open Society Institute, Budapest, Hungary. She holds an M.A. in Political Science from Sofia University, Bulgaria, and an M.A. and a Ph.D. in Political Science from the New School for Social Research, New York.

Steve Viederman's vocation is grandparenting, doing what he can to leave options open for his grandchildren and all children. He is involved in advocacy, writing, speaking, and consulting on a wide range of issues. These include sustainable investing and fiduciary duty; philanthropy and democracy; higher education and public policy; the limits of corporate responsibility; economic and environmental justice; and community governance. His primary focus now is developing strategies to increase institutional investment in investing because the future matters. He is an active shareholder, presently leading discussions with ExxonMobil and Chevron on the financial risks of climate change, and with Pfizer and Accenture on transparency of political contributions. He retired in 2000 from the presidency of the Jessie Smith Noyes Foundation where, in the early 90s, he developed and guided the effort to harmonize asset management with grant making, including some of the first 'impact investments' in 'responsible growth companies.'

Sponsors



Alvin Warren is an enrolled member and resident of Santa Clara Pueblo. He is a program officer for New Mexico Programs for the W.K. Kellogg Foundation and, as Santa Clara's recently elected treasurer, is serving his tenth term serving in the pueblo's government. He has previously served as the cabinet secretary of Indian Affairs for the State of New Mexico, lieutenant governor of Santa Clara Pueblo, executive vice president of Blue Stone Strategy Group and associate director of the Indigenous Communities Mapping Initiative. During his career Alvin has helped: tribes regain and protect significant traditional lands; ensure tens of millions of state dollars flow to tribes to build essential infrastructure and enact state legislation to ensure effective and collaborative state-tribal governmental relations. He recently earned a Masters in Public Administration from Harvard University's John F. Kennedy School of Government and earned a Bachelor's Degree in History from Dartmouth College. Alvin currently serves on the Gates Millennium Scholars Advisory Council and the Native American Visiting Committee for Dartmouth College. He has also been: chair of the Santa Clara Pueblo Governor's Task Force on Youth and Families; chair of the New Mexico Tribal Infrastructure Board and chair of the New Mexico Indian Affairs Commission.

Geraldine F. Watson is Treasurer of Confluence Philanthropy's board and vice president for finance and operations at the Rockefeller Brothers Fund. She was promoted to this position on October 1, 2006. This senior management position includes oversight of the finance, accounting, information technology, human resources, and operations functions for the RBF, as well as for the Rockefeller Family Fund and the David Rockefeller Fund. Ms. Watson joined the RBF in 1994 as comptroller, directing the financial reporting responsibilities for the RBF the RFF, the DRF, along with the Asian Cultural Council and the Rockefeller Philanthropy Advisors. From 1989 through 1994, Ms. Watson was engaged as an independent consultant, providing financial and tax services to individuals, small businesses, and nonprofit organizations. Prior to 1989, Ms. Watson served as an audit manager with Price Waterhouse. She currently chairs the audit committee and is a board member for Confluence Philanthropy, and is also on the board of trustees and audit committee of Philanthropy New York. Ms. Watson received a B.S. from Fairfield University and attained her C.P.A. classification shortly thereafter.

Alan M. Webber co-founded Fast Company magazine in 1993 and served as the co-editor there until 2003. He is currently a candidate for the Democratic nomination for Governor of New Mexico. Prior to starting Fast Company Alan was the editorial director of the Harvard Business Review. While he was at Fast Company, the magazine initiated its "social enterprise awards"; since leaving Fast Company, Alan has served as the moderator for the Yunus Social Business Global Summit, participated in a variety of events on behalf of Ashoka, and currently is on the board of the Global Center for Cultural Entrepreneurship.

Maurice Weeks is the Campaign Coordinator for ACCE – The Alliance of Californians for Community Empowerment. For the past two years Maurice has organized community led campaigns in the areas of Education, Predatory Debt, and Housing Justice that expose the unaccountability of wealthy Wall Street businesses and individuals and demand that they pay their fair share to Re-Fund California. In 2012 Maurice worked with student activists as they occupied their campuses, took over the capitol, and inspired their fellow classmates to rally behind the Millionaires Tax (later Proposition 30). More recently Maurice has helped shape a campaign to stimulate local economies by demanding big banks write down principal on mortgages and that they renegotiate predatory debt with California municipalities. In 2009 Maurice earned a Bachelor's degree in Sociology and Peace and Conflict Studies from Swarthmore College. Originally from Newark, NJ, he currently lives in Oakland, California.

Lisa Woll has been the CEO of US SIF since 2006. Prior to US SIF, Lisa was executive director of the International Women's Media Foundation, focusing on press freedom and expansion of women's role in the media. Lisa spent a decade working on children's human rights and directed the first international study on the impact of the Convention on the Rights of the Child. Lisa's early career focused on domestic social policy, beginning in the NYC Human Resources Administration as an Urban Fellow and the US Congress as a legislative assistant. Lisa founded Suited for Change, a Washington, DC-based organization serving low-income women seeking employment and was a founding board member of The Women's Alliance, a national organization focused on increasing the employability of low-income women. She was Board President of Women's Voices for the Earth, a national environmental health organization, is a board member of the Children's Environmental Health Network and a member of the Advisory Council of the Children's Rights Division of Human Rights Watch. Lisa is co-founder, with her teenage son, of Advantage Ethiopia: Kids' Tennis and Education Initiative. She has a bachelor's degree in political science from the University of Illinois and a master's degree in public policy and women's studies from George Washington University. She spent a year in Melbourne, Australia as a Fulbright Fellow.

Richard Woo is the chief executive officer of The Russell Family Foundation in Gig Harbor, Washington. The Foundation supports grassroots leadership, environmental sustainability, and global peace. Richard joined the Foundation during its startup in 2000. He is a past board member of Philanthropy Northwest and the Council on Foundations. Before joining The Russell Family Foundation, Richard worked nearly 12 years at Levi Strauss & Co., including three years as executive director of the Levi Strauss Foundation. There he gained experience in corporate social responsibility, communications, and global philanthropy. Early in his career, Richard spent a dozen years in Northern California working on community health and youth development. Richard earned an English degree from the University of California, Davis, where he first learned to spell the word "philanthropy."

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Working Groups, Learning Cohorts, and Special Initiatives

In just a few fast years Confluence Members have built out a number of working groups and special initiatives in order to learn collaboratively, while building the field of mission-related investing. Funders are welcome to join one of our working groups which offer learning, discussion, and resources. Participation in a working group is a complimentary benefit for Confluence Philanthropy Members.



Mission Fish Working Group

By engaging grantmakers and individual donors through a series of webinars, in-person round table discussions, and special projects Confluence is helping to bring new streams of finance to sustainable fisheries. The group focuses globally and locally in regions throughout the U.S.

Water Investors

Through information sharing, informal communication, an educational webinar series and in-person meetings, the newly formed Water Investors Working Group is working to develop a learning community around investing in sustainable water. The 'Water Investors' held their first in-person Working Group Retreat in Fall 2013. Interested funders are highly encouraged to join these emerging conversations.

The Proxy Stewardship Project

With guidance from an ad hoc working group of endowment leaders, 'The Proxy Stewards' have crafted a set of universal proxy-voting principles for adoption by the wider community of foundations and university endowments. These will be used to encourage managers of co-mingled funds to vote investment proxies along environmental, social, and governance guidelines. As part of this initiative, the working group is developing a set of resources and tools to support endowments to practice conscientious proxy voting. The Proxy Stewardship Project is a special initiative of Confluence's broader Proxy Power Program.

Southwest Native Green Fund

Collaboratively structured by a working group of donors and publicly-funded grantmakers, The Native Green Fund is a program-related investment fund offering below market rate loans to green tribal development projects across Arizona and New Mexico. Developed by Confluence Members, the Fund is managed by Native Home Capital, a community development finance institution in Arizona.

Next Gen Fellowship Program

The Next Gen Fellowship Program is a 6-month intensive peer-to-peer learning program for trustees and individual donors under 35 seeking to align their values with the management of their assets. In partnership with Resource Generation, participants receive formal training and structured peer-to-peer support in mission-related investing. Participation is by application and is on a fee-basis. Cohort 3 begins this Spring.



The Confluence Practitioners Meeting is created through a unique democratic process. Members of the Practitioners Program, along with their Investment Advisors, propose concepts that are then voted on by the Practitioners Program Members. Sessions receiving the highest number of votes are uplifted to plenary status, while other sessions receiving a majority of the votes become small sessions. Those individuals with selected sessions are invited to then help to plan that session.

We would like to both congratulate and thank those Members and Investment Advisors who have helped to shape the Fourth Annual Confluence Practitioners Meeting, as well as others, whom we have leaned and relied upon for the vision and expertise. We look forward to our best event yet!



Conference Planning Committee

Doug Bauer, Executive Director, The Clark Foundation
(Board Co-Chair)

Suzanne Biegel, Catalyst at Large Consulting

Brian Brynes, President and CEO, The Santa Fe
Community Foundation

Ellen Dorsey, Executive Director, The Wallace Global Fund

Ellen Friedman, Executive Director, The Compton Foundation
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